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Master's Thesis  
석사 학위논문

STUDY ON DOB BASED CONTROL SYSTEMS  
WITH SATURATING ACTUATORS AND  
MEASUREMENT NOISE

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**DGIST**

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Co-advisor: Professor Hyungbo Shim

by

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Department of Information and Communication Engineering  
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A thesis submitted to the faculty of DGIST in partial fulfillment of the requirements for the degree of Master of Science in the Department of Information and Communication Engineering. The study was conducted in accordance with Code of Research Ethics <sup>1</sup>

11. 16. 2016

Approved by

Professor Yongsoon Eun (Signature) 

Professor Hyungbo Shim (Signature) 

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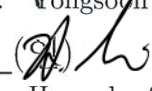
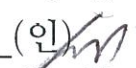


# Study on DOB based Control Systems with Saturating Actuators and Measurement Noise

Gyujin Na

Accepted in partial fulfillment of the requirements  
for the degree of Master of Science.

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201522007

나규진. Gyujin Na. Study on DOB based Control Systems with Saturating Actuators and Measurement Noise. Department of Information and Communication Engineering. 2017. 64p. Advisor Prof. Yongsoon Eun. Co-Advisor Prof. Hyungbo Shim

## ABSTRACT

In chapter 1, we consider effect of measurement noise of DOB based control system with saturating actuators. Augmenting feedback control systems with disturbance observer (DOB) is a widely used technique in system design to compensate for the effect of exogenous disturbances as well as plant model uncertainties. In practice, DOB implementation has to take actuator saturation into account in order to avoid poor transient response or instability occurring due to saturating control input. In such systems, we have observed that a tracking loss may occur due to zero mean measurement noise. This phenomenon has never been reported in DOB literature. This paper reports the phenomenon, analyzes the conditions under which the tracking loss occurs, and also presents design guideline to avoid it based on the analysis. Experimental verification is also provided using a BLDC motor drive testbed.

In chapter 2, we consider heavy-duty vehicle platooning. Heavy-duty vehicle platooning has received much attention as method to reduce fuel consumption by keeping the distance between vehicles short enough to decrease aerodynamic drag. Major disturbances in the platooning are the slope in the road and uncertain mass of the vehicle. Existing method to reduce the effect of slope is to combine the vehicle position obtained from GPS and the slope database to calculate the amount of feed-forward type compensation. However, slope database is costly to acquire, and GPS signal is unreliable in some locations. We present vehicle controller based on disturbance observer (DOB) to compensate the effect of slope and mass without relying on the GPS and the slope database. Actual measurement of the road slope taken in highways of Sweden is used and simulation is conducted.

**Key words** :Disturbance Observer, Noise Induced Tracking Error, BLDC motor testbed, Heavy-duty vehicle, and Platooning.



사랑하는 부모님에게 이 논문을 바칩니다.



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## Notation and Symbols

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$\mathbb{R}$	the set of all real numbers
$\mathbb{R}^n$	the $n$ -dimensional Euclidean space
$\mathbb{R}^{n \times n}$	the space of $n \times n$ matrix with real entries



# 1

### 1.1 Introduction

#### 1.1.1 Motivation

In control systems design, it is highly desired to achieve control performance robust against model uncertainties and external disturbances. Various robust control tools such as sliding mode control, backstepping control,  $L_1$  adaptive control,  $H_\infty$  control, and so on, were introduced for this purpose. In particular, disturbance observer (DOB), which was first proposed in [1], has been widely used as a simple and powerful robust control tool. A large number of industry engineers and academic researchers have utilized DOB in application fields such as motion control [2–5], magnetic disk drive control [6], quadrotor control [7,8], electric bicycle control [9], automotive control [10,11], BTT missile control [12], etc.

It should be noted that the performance of the control system with DOB may degrade when control input is constrained. Specifically, control systems with actuator saturation and DOB suffer from a poor transient and even instability if the saturation is not properly taken into account in the design. This is very similar to well-known integral controller windup phenomenon [13,14] occurring due to saturating actuator in PI controlled systems. The poor transient response or instability is recognized in [15,16] and a modified DOB structure that can mitigate the undesired behavior was proposed and studied. Therefore, augmentation of DOB for systems with saturating actuators shall take the constrained control into account following the suggested method of [15,16]. We refer to this implementation as anti-windup restrained control of DOB (AWRC DOB) for convenience. Indeed, application of AWRC DOB was founded in [17,18].

As it turns out, an unexpected behavior is observed in systems with AWRC DOB that no existing literature provides explanation. Specifically, zero mean measurement noise renders the system lose tracking: the mean of the output of the system shows constant tracking error only when measurement noise is present, although the measurement noise has zero mean. This phenomenon is similar to Noise Induced Tracking Error (NITE) reported in [19–21] for PI controlled systems with anti-windup. Since the two phenomena are closely related, we use the term NITE in the remainder of the paper to refer to noise induced tracking loss in systems with AWRC DOB.

In this paper, we analyze control systems with AWRC DOB to derive conditions under which the tracking loss occurs, and also quantify the tracking error with respect to system parameters and noise characteristics. The analysis is based on stochastic averaging theory developed in [22]. Main contribution of this work are as follows: 1) we provide the conditions under which NITE occurs and quantify tracking error and 2) based on the analysis, we propose controller design guidelines that can eliminate or reduce NITE in systems with AWRC DOB.

### 1.1.2 Chapter Outline

The outline of this paper is as follows: In Section 1.2, we show NITE Phenomenon in Control Systems with AWRC DOB. In Section 1.3, the control system with AWRC DOB is analyzed via stochastic averaging theory and main results are presented. Also, NITE example mentioned in section 1.3 is analyzed in detail. In Section 1.4, design guidelines to eliminate or reduce NITE are proposed. In Section 1.5, BLDC motor experiments are conducted to validate the efficacy of the proposed design guidelines. Finally, Conclusions are formulated in Section 1.6. All proofs are included in Appendix.

---

## 1.2 NITE Phenomenon in Control Systems with AWRC DOB

Consider a single input single output control system with AWRC DOB shown in Fig. 1.1. The transfer function  $P(s)$ ,  $C(s)$ ,  $P_n(s)$ ,  $Q(s)$  are plant, outer-loop controller, nominal plant, and low-pass filter (Q-filter) in DOB design, respectively. Signals  $r$ ,  $e$ ,  $u_c$ ,  $u$ ,  $v$ ,  $d$ ,  $y$ ,  $n$ ,  $d_{\text{est}}$ ,  $z_1$ ,  $z_2$  are, respectively, reference, tracking error, controller output, control signal, saturated control input, exogenous disturbance, system output, measurement noise, estimated disturbance, and signals associated with DOB. The measurement noise  $n$  has a zero mean Gaussian distribution with standard deviation of  $\sigma_n$ . The saturating actuator is denoted by  $\text{sat}_\alpha^\beta(u)$  with  $\alpha$  and  $\beta$  being the lower and upper limits:

$$\text{sat}_\alpha^\beta(u) = \begin{cases} \alpha, & u < \alpha \\ u, & \alpha \leq u \leq \beta \\ \beta, & u > \beta \end{cases} \quad (1.1)$$

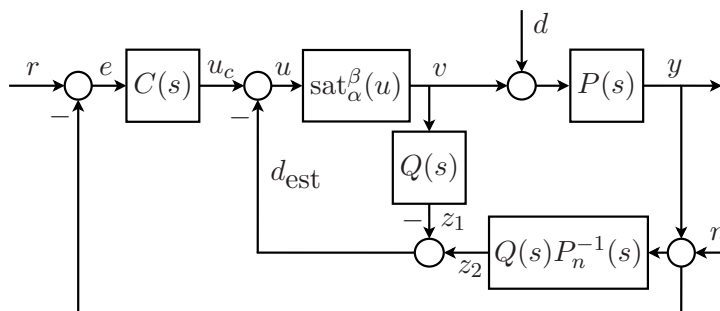


Figure 1.1: Block diagram of control systems with AWRC DOB.

For the design of DOB,  $P_n(s)$  is selected to have the same relative degree as that of  $P(s)$ , and  $Q(s)$  is selected so that  $Q(s)P_n^{-1}(s)$  is a proper transfer function. For details of DOB design, see [16, 24–27, 38] and references there in.

We assume that the system of Fig. 1.1 is stable with large enough domain of attraction. Also, we assume that the reference  $r$  and the exogenous disturbance  $d$  are constants and satisfy

$$\alpha < \lim_{s \rightarrow 0} \frac{C(s)}{C(s)P(s) + P_n^{-1}(s)P(s)} r - d < \beta \quad (1.2)$$

which implies that the steady state input  $u_{ss}$  satisfies  $\alpha < u_{ss} < \beta$ . The derivation of (1.2) is provided in the Appendix.

Now, consider the system of Fig. 1.1 with

$$\begin{aligned} P(s) &= \frac{2}{s(s+3)}, \quad P_n(s) = \frac{1}{s(s+4)}, \quad Q(s) = \frac{1}{(0.1s+1)^2}, \\ C(s) &= 4, \quad \alpha = -1, \quad \beta = 1, \quad r = 1, \quad d = 0.4. \end{aligned} \quad (1.3)$$

The response of the system of Fig. 1.1 with (1.3) and  $n = 0$  is obtained from MATLAB/SIMULINK simulations, and shown in Fig. 1.2 (a). As expected, the system output asymptotically tracks the reference. Now the output response from simulating the dynamics of Fig. 1.1 with (1.3) and zero mean noise  $n$  with the standard deviation of  $\sigma_n = 0.04$  is shown in Fig. 1.2 (b). Clearly, zero mean measurement noise induced tracking loss. The output does not track the reference anymore and shows significant bias. This phenomenon is referred to as NITE.

Analysis of NITE in feedback control systems with AWRC DOB will be carried out in subsequent section.

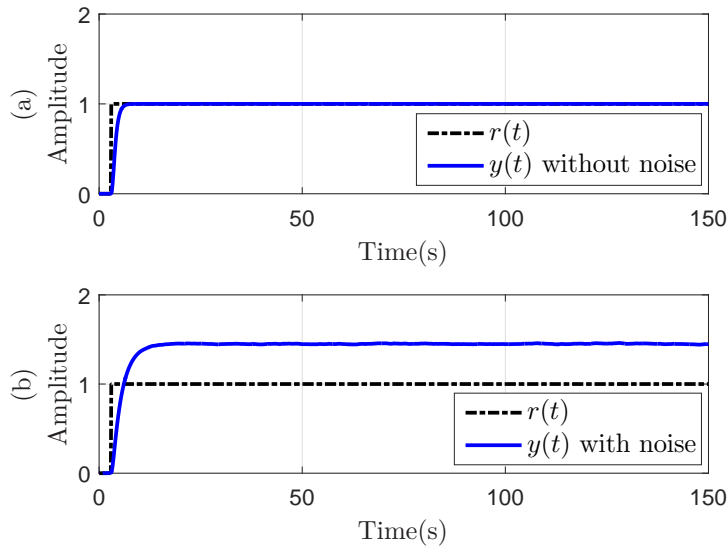


Figure 1.2: Step responses of control systems with AWRC DOB.

## 1.3 Analysis

### 1.3.1 Stochastic Averaging Theory

The analysis is based on the stochastic averaging theory [22]. A brief review is presented here. Consider the system

$$\dot{x} = f(x, n_\epsilon(t)) \quad (1.4)$$

where  $x \in \mathbb{R}^k$ ,  $f : \mathbb{R}^k \times \mathbb{R} \rightarrow \mathbb{R}^k$ , and  $n_\epsilon(t)$  is zero mean WSS random process with standard deviation of  $\sigma_n$  and bandwidth of  $\omega_n$ . The subscript  $\epsilon$  is intended to parameterize the noise in such a manner that  $\epsilon \rightarrow 0$  as  $\omega_n \rightarrow \infty$ . Then, for  $\epsilon$  sufficiently small, the solution  $x(t)$  of (1.4) is well approximated by the solution of the averaged equation

$$\dot{\bar{x}} = \bar{f}(\bar{x}) \quad (1.5)$$

where  $\bar{x} \in \mathbb{R}^k$ ,  $\bar{f} : \mathbb{R}^k \rightarrow \mathbb{R}^k$ , and  $\bar{f}$  is the conditional expected value of  $f$  with respect to the distribution of  $n_\epsilon(t)$ , i.e.,

$$\bar{f}(\bar{x}) = E_{n_\epsilon(t)}[\bar{f}(\bar{x}, n_\epsilon(t))] \quad (1.6)$$

Since the bandwidth of the measurement noise is typically much larger than that of the closed-loop system, the behavior of (1.4) can be studied using the averaged system of (1.5).

The averaged system of Fig. 1.1 with respect to the noise process is shown in Fig. 1.3. The details of applying the stochastic averaging are included in [19–21]. For the system of Fig. 1.3, all signals are denoted by the same symbols as in Fig. 1.1, but with a bar to denote that they are the results of averaging. Notice that the measurement noise  $n$  does not appear in the system of Fig. 1.3, and the effect of  $n$  is averaged into the function  $h_\alpha^\beta(\bar{u}; \kappa)$  that replaces the saturating actuator  $\text{sat}_\alpha^\beta(u)$  in the original system.

The function  $h_\alpha^\beta(\bar{u}; \kappa)$  is defined as

$$\begin{aligned} h_\alpha^\beta(\bar{u}; \kappa) &= \frac{\alpha + \beta}{2} + \frac{\bar{u} - \alpha}{2} \text{erf} \left( \frac{\bar{u} - \alpha}{\sqrt{2}\kappa} \right) - \frac{\bar{u} - \beta}{2} \text{erf} \left( \frac{\bar{u} - \beta}{\sqrt{2}\kappa} \right) \\ &+ \frac{\kappa}{\sqrt{2\pi}} \left( \exp \left( -\frac{(\bar{u} - \alpha)^2}{2\kappa^2} \right) - \exp \left( -\frac{(\bar{u} - \beta)^2}{2\kappa^2} \right) \right) \end{aligned} \quad (1.7)$$

where the error function  $\text{erf}(\xi)$  and the parameter  $\kappa$  are, respectively, defined as

$$\begin{aligned} \text{erf}(\xi) &= \frac{2}{\sqrt{\pi}} \int_0^\xi \exp(-t^2) dt, \\ \kappa &= (C_\infty + W_\infty)\sigma_n. \end{aligned} \quad (1.8)$$

For the sake of convenience, we define  $Q(s)P_n^{-1}(s)$  as  $W(s)$ . The parameters  $C_\infty$  and  $W_\infty$  are defined as

$$\begin{aligned} C_\infty &= \lim_{s \rightarrow \infty} C(s), \\ W_\infty &= \lim_{s \rightarrow \infty} Q(s)P_n^{-1}(s). \end{aligned} \quad (1.9)$$

The derivation of  $h_\alpha^\beta(\bar{u}; \kappa)$  is provided in the Appendix. The properties of  $h_\alpha^\beta(\bar{u}; \kappa)$  are checked in the Fig. 1.4.

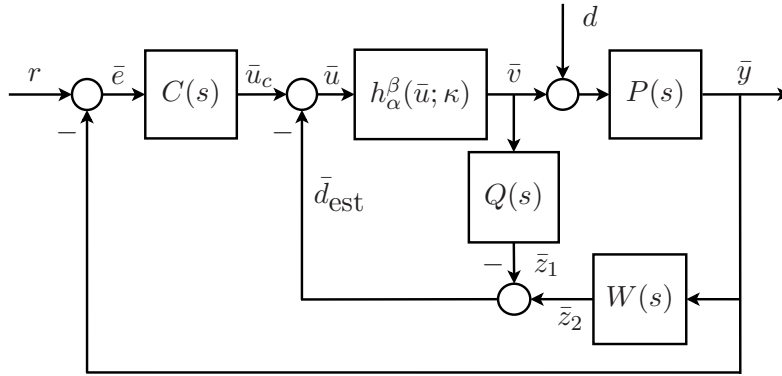


Figure 1.3: Averaged version of the feedback system of Fig. 1.1.

We now illustrate the accuracy of the averaging approach. Responses of the system in Fig. 1.3 obtained from simulations are shown in Fig. 1.5 (a). For comparison, in Fig. 1.5 (b) we show again the response of the system in Fig. 1.1 with measurement noise. The two responses are almost identical, which validates the approach of the analysis.

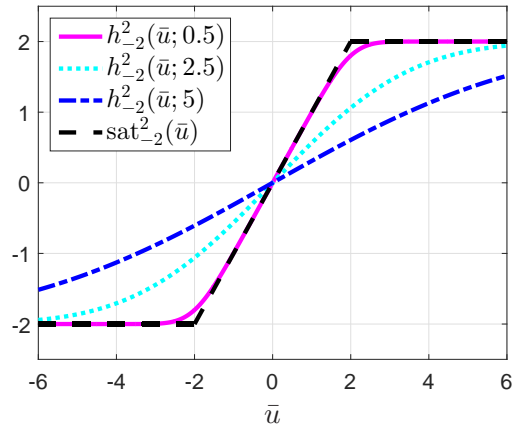


Figure 1.4: The function  $\text{sat}_{-2}^2(\bar{u})$  and  $h_{-2}^2(\bar{u}; \kappa)$  for several values of  $\kappa$ .

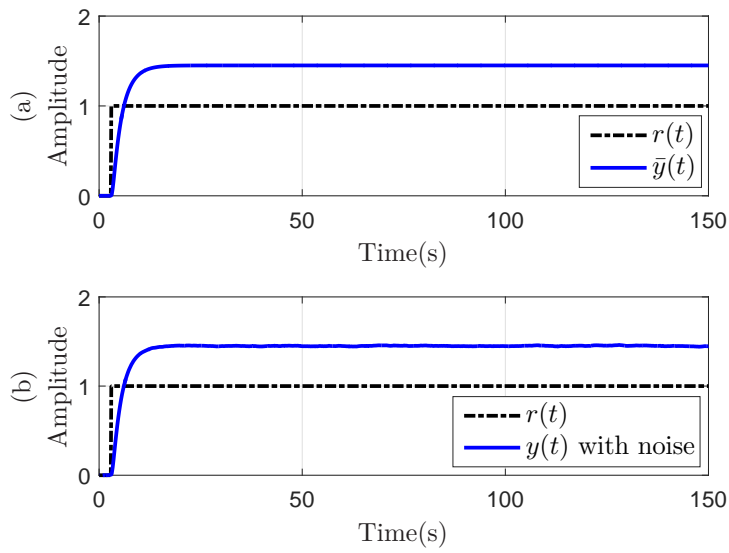


Figure 1.5: Step responses of the averaged and original control systems.

### 1.3.2 NITE Analysis

By analyzing the tracking error of the system of Fig. 1.3, we can quantify NITE with respect to system parameters.

*Theorem 1.* Assume that the outer-loop controller  $C(s)$  does not include integral term,  $C_0 + W_0 \neq 0$ , the plant  $P(s)$  does not have a pole at the origin, and the averaged version of the feedback system of Fig. 1.3 has an asymptotically stable equilibrium. The steady state error  $\bar{e}_{ss}$  is defined as

$$\bar{e}_{ss} = \frac{\bar{u}_{ss} - h_\alpha^\beta(\bar{u}_{ss}; \kappa) + W_0 r}{C_0 + W_0} \quad (1.10)$$

and steady state input  $\bar{u}_{ss}$  is the solution of

$$\bar{u}_{ss} = C_0 r + (1 - C_0 P_0 - W_0 P_0) h_\alpha^\beta(\bar{u}_{ss}; \kappa) - (C_0 P_0 + W_0 P_0) d \quad (1.11)$$

where  $P_0$ ,  $C_0$ ,  $W_0$  are, respectively, the dc-gain of  $P(s)$ , the dc-gain of  $C(s)$ , and the dc-gain of  $W(s)$ .

**Proof:** See the Appendix.

The interpretations of Theorem 1 are as follows:

- (1-1) Only, the level of NITE is determined by system parameters such as reference, exogenous disturbance, size of  $\kappa$ , dc-gain of  $P(s)$ , dc-gain of  $C(s)$ , and dc-gain of  $W(s)$ .
- (1-2) Let us assume that reference, dc-gain of  $C(s)$ , dc-gain of  $P_n(s)$  are fixed. As the gap between  $\text{sat}_\alpha^\beta(\bar{u})$  and  $h_\alpha^\beta(\bar{u}; \kappa)$  at a steady state input is large, the level of NITE is gradually high, i.e.,  $\bar{e}_{ss}$  increases as  $\bar{u}_{ss} - h_\alpha^\beta(\bar{u}; \kappa)$  increases.
- (1-3) In the case of the control system without measurement noise,  $|\bar{u}_{ss} - h_\alpha^\beta(\bar{u}; \kappa)|$  must be zero. Thus, (1.10) is converted to

$$\bar{e}_{ss} = \frac{W_0 r}{C_0 + W_0} = \frac{r}{1 + C_0 P_{n0}} \quad (1.12)$$

where  $P_{n0}$  is the dc-gain of  $P_n(s)$ . (1.12) is a well-known mathematical formula [28] to obtain the steady state error of the unity feedback system of Fig. 1.6. This is a natural result because DOB perfectly compensates unknown disturbance and makes the real plant behave like the nominal plant in steady state.

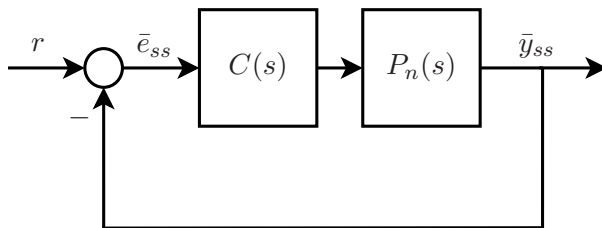


Figure 1.6: Block diagram of Fig.1.3 in the steady state.

*Corollary 1.* Assume that the outer-loop controller  $C(s)$  does not include integral term and the plant  $P(s)$  has a pole at the origin, the averaged version of the feedback system of Fig. 1.3 has an asymptotically stable equilibrium. For  $C_0 + W_0 \neq 0$ , the steady state error  $\bar{e}_{ss}$  is defined as

$$\bar{e}_{ss} = \frac{\bar{u}_{ss} + d + W_0 r}{C_0 + W_0} \quad (1.13)$$

and steady state input  $\bar{u}_{ss}$  is the solution of

$$h_\alpha^\beta(\bar{u}_{ss}; \kappa) = -d \quad (1.14)$$

where  $C_0$ ,  $W_0$  are, respectively, the dc-gain of  $C(s)$  and the dc-gain of  $W(s)$ .

**Proof:** See the Appendix.

The interpretations of Corollary 1 are as follows:

- (2-1) As negative disturbance  $-d$  approaches to upper limit  $\beta^-$ , steady state error  $\bar{e}_{ss}$  is close to positive infinite, i.e.,  $\lim_{-d \rightarrow \beta^-} \bar{e}_{ss} = +\infty$ . In the case of  $-d = \beta^-$ , when steady state input  $\bar{u}_{ss}$  is positive infinite, negative disturbance  $-d$  meets with function  $h_\alpha^\beta(\bar{u}_{ss}; \kappa)$ , i.e.,  $\bar{e}_{ss} \rightarrow +\infty$  as  $\bar{u}_{ss} \rightarrow +\infty$ . In a similar way, as negative disturbance  $-d$  approaches to the lower limit  $\alpha^+$ , steady state error  $\bar{e}_{ss}$  is close to the negative infinite, i.e.,  $\lim_{-d \rightarrow \alpha^+} \bar{e}_{ss} = -\infty$ . In the case of  $-d = \alpha^+$ , when steady state input is negative infinite, negative disturbance  $-d$  meets with h-function, i.e.,  $\bar{e}_{ss} \rightarrow -\infty$  as  $\bar{u}_{ss} \rightarrow -\infty$ . In other words, this means that if there exists measurement noise in the feedback system of Fig. 1.1 and negative disturbance  $-d$  is placed on either lower limit  $\alpha^+$  or upper limit  $\beta^-$ , the stability of the system can be broken.
- (2-2) As negative disturbance  $-d$  approaches to the middle point between the upper limit and the lower limit, the steady state error is increasingly close to (1.12). i.e.,

$$\lim_{-d \rightarrow (\alpha+\beta)/2} \bar{e}_{ss} = \frac{W_0 r}{C_0 + W_0} \quad (1.15)$$

If nominal plant has a pole at the origin ( $W_0 = 0$ ), steady state error  $\bar{e}_{ss}$  is always zero, i.e.,  $\bar{e}_{ss} \rightarrow 0$  as  $\bar{u}_{ss} \rightarrow (\alpha + \beta)/2$ . This is related with properties of  $h_{\alpha}^{\beta}(\bar{u}; \kappa)$  [19].

*Theorem 2.* In the case that outer-loop controller  $C(s)$  includes integral term, the steady state error  $\bar{e}_{ss}$  of the feedback system of Fig. 1.3 is always zero.

**Proof:** See the Appendix.

Again, consider NITE example mentioned in Section 2. Fig. 1.7 shows plot of  $h_{-1}^1(\bar{u}; 4.16)$  and  $\text{sat}_{-1}^1(\bar{u})$  over inputs  $\bar{u}$ . Refer to Corollary 1. Negative disturbance  $-d$  meets with  $h_{-1}^1(\bar{u}; 4.16)$  at the point of  $\bar{u}_{ss} = -2.2025$ . Consequently, steady state error is determined as  $\bar{e}_{ss} = -0.4506$ . Fig. 1.8 represents steady state errors over exogenous disturbances and we can understand interpretation of Corollary 1 more deeply.

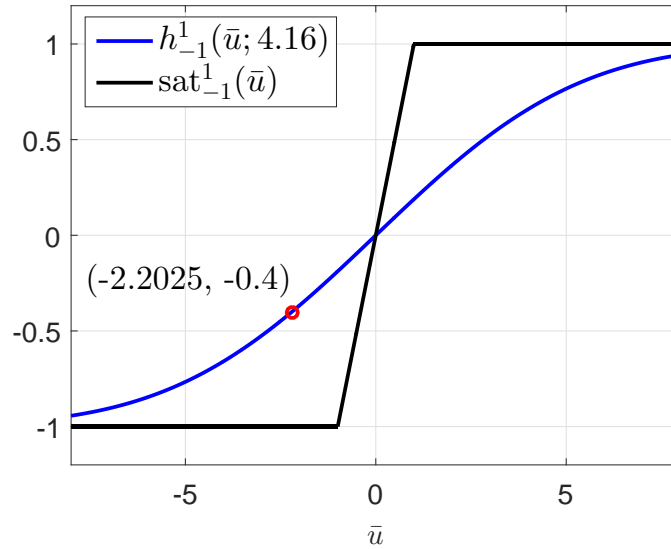


Figure 1.7: Plot of  $h_{-1}^1(\bar{u}; 4.16)$  and  $\text{sat}_{-1}^1(\bar{u})$ .

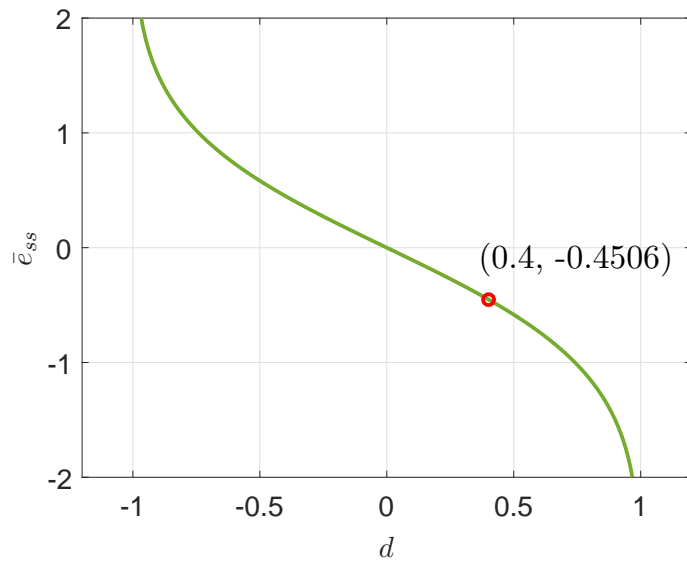


Figure 1.8: Steady state errors over several disturbances.

## 1.4 NITE Mitigation Method

Worthwhile design techniques that can reduce NITE are as follows:

M1: Include integral control in  $C(s)$ .

By Theorem 2, this makes the tracking error be zero in the steady state. However, this has a drawback in that poor transient performance caused by an unwanted pole addition at zero may be inevitable. See Fig. 1.9.

M2: Increase relative degree of  $Q(s)$ .

Assume that transfer functions  $P_n(s)$  and  $Q(s)$  are defined as follows:

$$\begin{aligned} P_n(s) &= \frac{b_p s^p + b_{p-1} s^{p-1} + \cdots + b_1 s + b_0}{a_q s^q + a_{q-1} s^{q-1} + \cdots + a_1 s + a_0} \\ Q(s) &= \frac{d_k (\tau s)^k + d_{k-1} (\tau s)^{k-1} + \cdots + d_1 (\tau s) + c_0}{(\tau s)^l + c_{l-1} (\tau s)^{l-1} + \cdots + c_1 (\tau s) + c_0} \end{aligned} \quad (1.16)$$

where parameters  $p, q, k, l$  are positive numbers with  $q \geq p$  and  $l \geq k$  and parameter  $\tau$  is a positive constant, which determines cutoff frequency of lowpass filter  $Q(s)$ . By using (1.9) and (1.16), parameter  $W_\infty$  is defined as

$$W_\infty = \begin{cases} (a_q d_k \tau^{k-l})/b_p, & k + q = l + p \\ 0, & k + q < l + p \end{cases} \quad (1.17)$$

In the case that  $C(s)$  should not include any integral term due to transient performance problem, we recommend taking  $\kappa$  are small as possible. This is because  $h_\alpha^\beta(\bar{u}; \kappa)$  is gradually similar to  $\text{sat}_\alpha^\beta(\bar{u})$  as  $\kappa$  decreases into zero. Here,  $W_\infty$  should be modulated rather than  $C_\infty$  in that  $C_\infty$  is directly related to system performance. If there is nothing wrong with implementation of DOB, we recommend relative degree of  $Q(s)$  is bigger than that of  $P_n(s)$ . This is because  $W_\infty$  is changed into 0. Although this method can not eliminate NITE phenomenon because  $C_\infty$  is not zero, this method is quite useful in that transient performance can be somehow guaranteed and NITE is decreased. See Fig. 1.10.

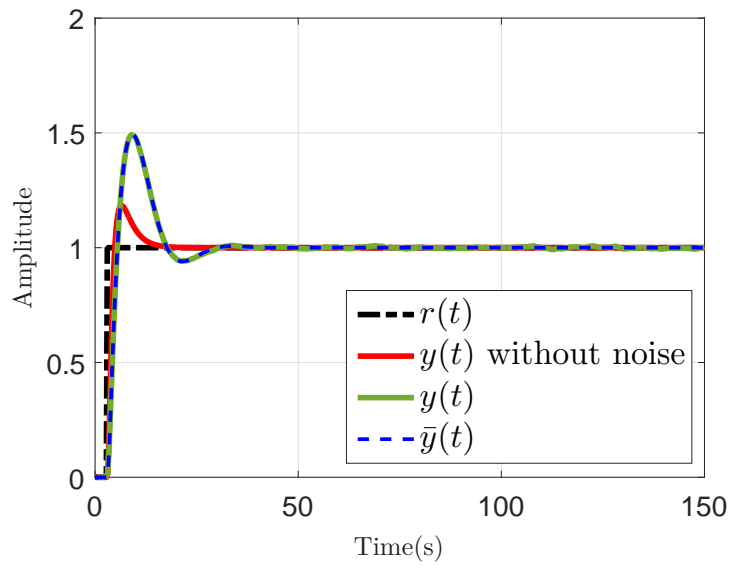


Figure 1.9: Application of the proposed design method (1) to NITE example.

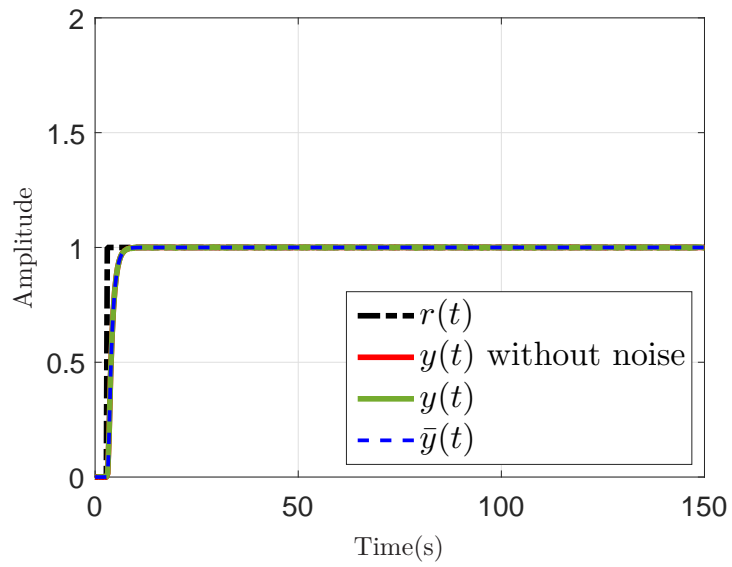


Figure 1.10: Application of the proposed design method (2) to NITE example.

## 1.5 DC Motor Experimental Results

We use brushless DC (BLDC) motor drive testbed to validate the effectiveness of the proposed controller design techniques. Fig.1.11 shows BLDC motor system. The experiment environment is comprised of a DSP evaluation board and a 26.2W, eight-pole BLDC motor drive. The DSP evaluation board is fitted with a Texas Instruments TMS320F28335 floating-point DSP and a 50W three phase full-bridge inverter. A built-in-Hall-effect sensor measures the speed of BLDC motor with sampling rate of 30kHz. Controllers are discretized using the Tustin approximation.

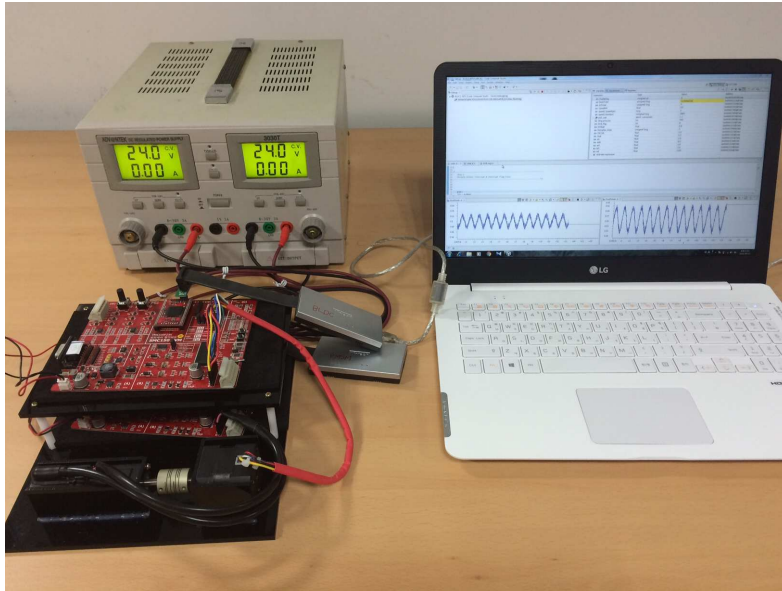


Figure 1.11: Brushless DC motor drive testbed.

The control objective is to regulate the rotative velocity of BLDC motor under the autonomously generated sensor noise and the exogenous disturbances. The speed reference of BLDC motor is set to 2000rpm. Transfer function  $P_n(s)$  and  $Q(s)$  are chosen as

$$P_n(s) = \frac{228}{0.01s(0.03s + 1)} [\text{rpm/V}], \quad Q(s) = \frac{1}{(0.003s + 1)^2} \quad (1.18)$$

The outer-loop controller is properly chosen as  $C(s) = 0.0006[\text{V/rpm}]$ . In order to protect

the BLDC motor, the permissible range of input voltage is set as follows.

$$\text{sat}_0^{9.6}(u(t)) = \begin{cases} 0, & u(t) < 0 \\ u(t), & 0 \leq u(t) \leq 9.6 \\ 9.6, & u(t) > 9.6 \end{cases} \quad (1.19)$$

In order to observe the variation of NITE, after 5 seconds, the exogenous disturbance is induced into the motor input. Before 5 seconds, the exogenous disturbance is set to zero. Consider Fig. 1.12 and Fig. 1.13. All of subplot in the figures show the rotative velocity of BLDC motor. The first subplot of each figure shows that the motor speed does not track the reference due to NITE phenomenon. In the first subplot of each figure, we can check that NITE is increased after 5 seconds. This is because exogenous disturbance causes movement of operating point (steady state input  $\bar{u}_{ss}$ ). As the operating point approaches to the nearby region of saturation limit, the gap between saturating actuator and h-function increasingly increases. It means that NITE increases. In the second subplot in Fig. 1.12, controller design technique (1) is applied to the motor control system. We change  $C(s) = 0.0006$  into  $C(s) = 0.0005(s + 4)/s$ . As we mention in Section 6, by Collorary 1, NITE phenomenon is not appeared. In the second subplot in Fig. 1.13, new controller design technique (2) is applied to the motor control system. We change  $Q(s) = 1/(0.003s + 1)^2$  into  $Q(s) = 1/(0.003s + 1)^3$ . NITE phenomenon is not disappeared completely. However, NITE is surely decreased. Through experimental results, we can assure that proposed design techniques to reduce NITE are quite useful.

## 1.6 Conclusions

Disturbance observer (DOB) has been widely utilized in many industries. However, control systems with actuator saturation and DOB may suffer from a poor transient and even instability if the saturation is not properly taken into account in the design. For this reason, AWRC DOB was proposed in [15, 16]. The AWRC DOB extends range of usage of DOB. However, while we use it, we observed the interesting phenomenon where the step response of the control system has a specific tracking error under the existence of measurement noise. we denominated such phenomenon as NITE phenomenon in AWRC DOB control system. In this paper, based on stochastic theory, we defined the mathematical formula that can numerically quantify the tracking error in the steady state and analyzed it. Also, we introduced the controller design techniques that can effectively eliminate or reduce NITE. Finally, we verified the usefulness of the proposed techniques using BLDC motor.

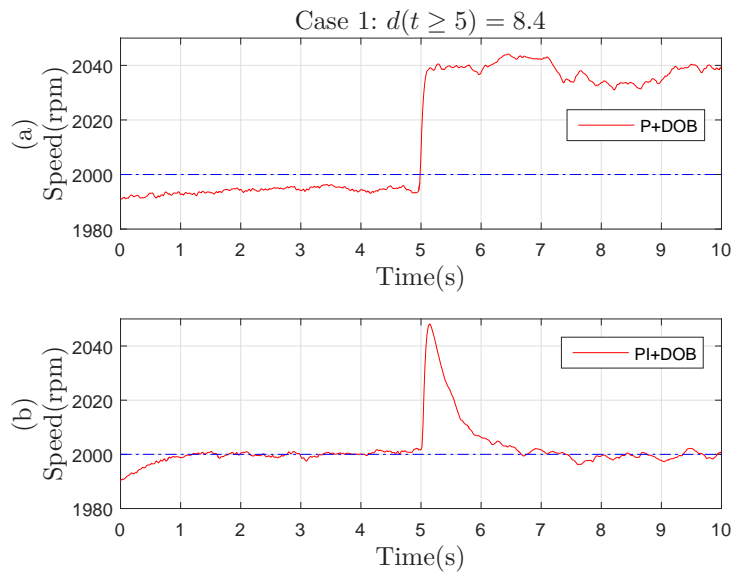


Figure 1.12: The application of controller design technique (1).

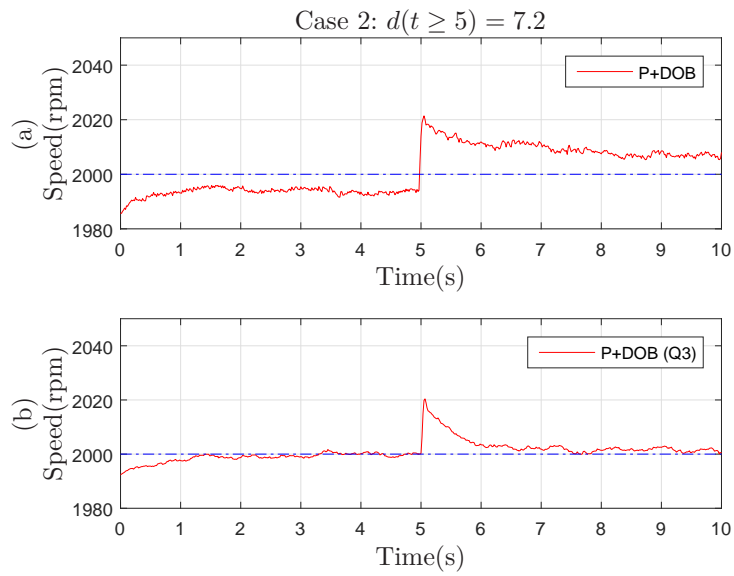


Figure 1.13: The application of controller design technique (2).

## 1.7 Appendix

### Derivation of (1.2)

Consider Fig. 1.1. Assuming  $n = 0$  and  $v = u$ , input  $u$  is obtained as

$$u = \frac{C(s)}{1 - Q(s) + C(s)P(s) + Q(s)P_n^{-1}(s)P(s)}r - \frac{C(s)P(s) + Q(s)P_n^{-1}(s)P(s)}{1 - Q(s) + C(s)P(s) + Q(s)P_n^{-1}(s)P(s)}d \quad (1.20)$$

By using (1.20) and  $Q_0 = 1$  (For DOB design, dc-gain of  $Q(s)$  should be one), steady state input  $u_{ss}$  is represented as

$$u_{ss} = \lim_{s \rightarrow 0} \frac{C(s)}{C(s)P(s) + P_n^{-1}(s)P(s)}r - d \quad (1.21)$$

(1.17) and (1.21) make (1.2).

### Derivation of (1.7)

A state space realization of the feedback system in Fig. 1.1 is derived as

$$\begin{aligned} \dot{x}_p &= A_p x_p + B_p(v + d), \\ y &= C_p x_p, \\ \dot{x}_c &= A_c x_c + B_c(r - y - n), \\ u_c &= C_c x_c + D_c(r - y - n), \\ \dot{x}_q &= A_q x_q + B_q v, \\ z_1 &= C_q x_q, \\ \dot{x}_w &= A_w x_w + B_w(y + n), \\ z_2 &= C_w x_w + D_w(y + n), \end{aligned} \quad (1.22)$$

where  $x_p \in \mathbb{R}^{k_p}$  is state vector of  $P(s)$  and  $A_p$ ,  $B_p$  and  $C_p$  are the system matrices of appropriate dimensions,  $x_c \in \mathbb{R}^{k_c}$  is state vector of  $C(s)$  and  $A_c$ ,  $B_c$ ,  $C_c$  and  $D_c$  are the system matrices of appropriate dimensions,  $x_q \in \mathbb{R}^{k_q}$  is state vector of  $Q(s)$  and  $A_q$ ,  $B_q$  and  $C_q$  are the system matrices of appropriate dimensions,  $x_w \in \mathbb{R}^{k_w}$  is state vector of  $W(s)$  and  $A_w$ ,  $B_w$ ,  $C_w$  and  $D_w$  are the system matrices of appropriate dimensions.

---

$$\begin{aligned}
\begin{bmatrix} \dot{x}_p \\ \dot{x}_c \\ \dot{x}_q \\ \dot{x}_w \end{bmatrix} &= \begin{bmatrix} A_p & 0 & 0 & 0 \\ -B_c C_p & A_c & 0 & 0 \\ 0 & 0 & A_q & 0 \\ B_w C_p & 0 & 0 & A_w \end{bmatrix} \begin{bmatrix} x_p \\ x_c \\ x_q \\ x_w \end{bmatrix} + \begin{bmatrix} B_p & 0 & B_p & 0 \\ 0 & B_c & 0 & -B_c \\ B_q & 0 & 0 & 0 \\ 0 & 0 & 0 & B_w \end{bmatrix} \begin{bmatrix} v \\ r \\ d \\ n \end{bmatrix}, \\
u &= \begin{bmatrix} -(D_c C_p + D_w C_p) & C_c & C_q & -C_w \end{bmatrix} \begin{bmatrix} x_p \\ x_c \\ x_q \\ x_w \end{bmatrix} + \begin{bmatrix} 0 & D_c & 0 & -(D_c + D_w) \end{bmatrix} \begin{bmatrix} v \\ r \\ d \\ n \end{bmatrix}
\end{aligned} \tag{1.23}$$

According to (1.23), input  $u$  is decomposed into  $u = \mu - (D_c + D_w)n$  with  $\mu$  representing the portion of  $u$  not directly depending on  $n$ . The h-function denoted by  $h_\alpha^\beta(\bar{u}; (D_c + D_w)\sigma_n)$  is defined as the conditional expected value of  $\text{sat}_\alpha^\beta(u)$  with respect to zero mean Gaussian noise  $n$ .

$$\begin{aligned}
h_\alpha^\beta(\mu; (D_c + D_w)\sigma_n) &= E_n[\text{sat}_\alpha^\beta(u)] \\
&= \int_{-\infty}^{\infty} \text{sat}_\alpha^\beta(\mu - (D_c + D_w)n) \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left(-\frac{n^2}{2\sigma_n^2}\right) dn \\
&= \frac{\alpha}{\sqrt{2\pi}\sigma_n} \int_{-\infty}^{\alpha} \exp\left(-\frac{n^2}{2\sigma_n^2}\right) dn \\
&\quad + \frac{1}{\sqrt{2\pi}\sigma_n} \int_{\alpha}^{\beta} (\mu - (D_c + D_w)n) \exp\left(-\frac{n^2}{2\sigma_n^2}\right) dn \\
&\quad + \frac{\beta}{\sqrt{2\pi}\sigma_n} \int_{\beta}^{\infty} \exp\left(-\frac{n^2}{2\sigma_n^2}\right) dn
\end{aligned} \tag{1.24}$$

Calculating (1.24) makes (1.7).

## Proof of Theorem 1

---

A state space realization of the feedback system of Fig. 1.3 is defined as

$$\begin{aligned}
\dot{\bar{x}}_p &= A_p \bar{x}_p + B_p (h_\alpha^\beta(\bar{u}; (D_c + D_w)\sigma_n) + d), \\
\bar{y} &= C_p \bar{x}_p, \\
\dot{\bar{x}}_c &= A_c \bar{x}_c + B_c (r - \bar{y}), \\
\bar{u}_c &= C_c \bar{x}_c + D_c (r - \bar{y}), \\
\dot{\bar{x}}_q &= A_q \bar{x}_q + B_q h_\alpha^\beta(\bar{u}; (D_c + D_w)\sigma_n), \\
\bar{z}_1 &= C_q \bar{x}_q, \\
\dot{\bar{x}}_w &= A_w \bar{x}_w + B_w \bar{y}, \\
\bar{z}_2 &= C_w \bar{x}_w + D_w \bar{y}, \\
\bar{u} &= \bar{u}_c - \bar{d}_{\text{est}}
\end{aligned} \tag{1.25}$$

where  $\bar{x}_p$  is state vector of  $P(s)$ ,  $\bar{x}_c$  is state vector of  $C(s)$ ,  $\bar{x}_q$  is state vector of  $Q(s)$ , and  $\bar{x}_w$  is state vector of  $W(s)$ . The steady state of the system is defined as

$$\begin{aligned}
A_p \bar{x}_p + B_p (h_\alpha^\beta(\bar{u}; (D_c + D_w)\sigma_n) + d) &= 0, \\
A_c \bar{x}_c + B_c (r - \bar{y}) &= 0, \\
A_q \bar{x}_q + B_q h_\alpha^\beta(\bar{u}; (D_c + D_w)\sigma_n) &= 0, \\
A_w \bar{x}_w + B_w \bar{y} &= 0
\end{aligned} \tag{1.26}$$

Since the existence of asymptotically stable equilibrium is assumed, we can obtain

$$\begin{aligned}
\bar{u}_{ss} &= \bar{u}_{c,ss} - \bar{d}_{\text{est},ss} \\
&= C_c \bar{x}_c + D_c (r - \bar{y}_{ss}) + C_q \bar{x}_q - (C_w \bar{x}_w + D_w \bar{y}_{ss})
\end{aligned} \tag{1.27}$$

where  $\bar{u}_{ss}$ ,  $\bar{u}_{c,ss}$ ,  $\bar{d}_{\text{est},ss}$ ,  $\bar{y}_{ss}$  are, respectively, the steady state values of  $\bar{u}$ ,  $\bar{u}_c$ ,  $\bar{d}_{\text{est}}$ , and  $\bar{y}$ . By using (1.26) and (1.27), we can obtain

$$\bar{u}_{ss} = (-C_c A_c^{-1} B_c + D_c)(r - \bar{y}_{ss}) + (-C_q A_q^{-1} B_q) h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - (-C_w A_w^{-1} B_w + D_w) \bar{y}_{ss} \tag{1.28}$$

By using  $C_0 = -C_c A_c^{-1} B_c + D_c$ ,  $Q_0 = -C_q A_q^{-1} B_q$ , and  $W_0 = -C_w A_w^{-1} B_w + D_w$ , (1.28) is rewritten by

$$\bar{u}_{ss} = C_0 (r - \bar{y}_{ss}) + Q_0 h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - W_0 \bar{y}_{ss} \tag{1.29}$$

where  $C_0$ ,  $Q_0$ ,  $W_0$  are, respectively, the dc-gain of  $C(s)$ , the dc-gain of  $Q(s)$ , and the dc-gain of  $W(s)$ .

---

By using  $\bar{e}_{ss} = r - \bar{y}_{ss}$  and  $Q_0 = 1$ , (1.29) is rewritten by

$$\begin{aligned}\bar{u}_{ss} &= C_0\bar{e}_{ss} + h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - W_0(r - \bar{e}_{ss}) \\ &= (C_0 + W_0)\bar{e}_{ss} + h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - W_0r\end{aligned}\quad (1.30)$$

For  $C_0 + W_0 \neq 0$ , the steady state error  $\bar{e}_{ss}$  is defined as follows.

$$\bar{e}_{ss} = \frac{\bar{u}_{ss} - h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) + W_0r}{C_0 + W_0}\quad (1.31)$$

In order to obtain  $\bar{u}_{ss}$ , (1.29) is rewritten by

$$\bar{u}_{ss} = C_0r + h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - (C_0 + W_0)\bar{y}_{ss}\quad (1.32)$$

By using  $\bar{y}_{ss} = C_p\bar{x}_p = (-C_pA_p^{-1}B_p)(h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) + d) = P_0(h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) + d)$ , we can obtain

$$\begin{aligned}\bar{u}_{ss} &= C_0r + h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - (C_0 + W_0)P_0(h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) + d) \\ &= C_0r + (1 - C_0P_0 - W_0P_0)h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) - (C_0P_0 + W_0P_0)d\end{aligned}\quad (1.33)$$

where  $P_0$  is the dc-gain of  $P(s)$ .

### Proof of Corollary 1

Since  $P(s)$  has a pole at the origin, for an equilibrium to exist, the input to the plant  $P(s)$  must be zero in the steady state, i.e.,

$$d + h_\alpha^\beta(\bar{u}_{ss}; (D_c + D_w)\sigma_n) = 0\quad (1.34)$$

Therefore, by using (1.31), we can obtain

$$\bar{e}_{ss} = \frac{\bar{u}_{ss} + d + W_0r}{C_0 + W_0}\quad (1.35)$$

where  $\bar{u}_{ss}$  is the solution of (1.34).

### Proof of Theorem 2

Since the outer-loop controller  $C(s)$  has a pole at the origin, for an equilibrium to exist, the input to  $C(s)$  must be zero in the steady state. Therefore,  $\bar{e}_{ss}$  must be zero.

---

## 1.8 MATLAB CODE

I have saved NITE code in the common fold. To understand code information more perfectly, I recommend you to check files in common fold.

```
1
2 clear all
3 clc
4
5 %% Operation Time
6 T = 150;
7
8 %% Reference
9 r = 1;
10 rsteptime = 3;
11
12 %% Disturbance
13 StepTime = 3;
14 d = 0.4;
15
16 %% Gaussian Noise
17 Mean = 0;
18 Variance = 0.0016;
19 sigma = sqrt(Variance);
20 SampleTime = 0.0001;
21
22 %% Saturation
23 beta = 1;
24 alpha = -1;
25
26 %% PID Gain
27 P = 4;
28 I = 0;
29 D = 0;
30
31 %% Plant & Nominal Plant & Q Filter & QP
32 Pn = [2];
33 Pd = [1 3 0];
34
35 Pnn = [1];
36 Pnd = [1 4 0];
37
38 Tau = 0.1;
39
40 Qn = [1];
41 %Qd = [Tau^2, 2* Tau, 1];
42 Qd = [Tau^3, 3* Tau^2, 3* Tau, 1];
43
44 Wn = conv(Pnd, Qn);
45 Wd = conv(Pnn, Qd);
46
47 %% Comparision
48 P1 = 4;
49 I1 = 0;
50 D1 = 0;
51
52 Qn1 = [1];
53 Qd1 = [Tau^2, 2* Tau, 1];
54
55 Wn1 = conv(Pnd, Qn1);
56 Wd1 = conv(Pnn, Qd1);
57
58
59 %% DC Gain
60 dcgainP = dcgain(tf(Pn, Pd));
61 dcgainPn = dcgain(tf(Pnn, Pnd));
62 dcgainW = dcgain(tf(Wn,Wd));
63
64 %% h Function
65 Dc = 4;
```

---

---

```

66 %Dw = 100;
67 Dw = 0;
68
69 ubar = -10:0.01:10;
70 hFunction = (alpha+beta)./2 + (ubar-alpha)./2.*erf((ubar-alpha)./(sqrt(2).*(Dc+Dw).*sigma))
71 - (ubar-beta)./2.*erf((ubar-beta)./(sqrt(2).*(Dc+Dw).*sigma))
72 + (Dc+Dw).*sigma./sqrt(2*pi).*(exp(-((ubar-alpha).^2)./(2.*((Dc+Dw).^2.*sigma.^2)))
73 -exp(-((ubar-beta).^2)./(2.*((Dc+Dw).^2.*sigma.^2))));
74
75 t1 = -10:0.01:alpha;
76 h1 = alpha*ones(901);
77 t2 = alpha:0.01:beta;
78 h2 = t2;
79 t3 = beta:0.01:10;
80 h3 = beta*ones(901);
81
82 %% For Error Solution
83 j = 1;
84
85 for dValue = - 1 : 0.01 : 1
86
87     temp0(j) = dValue;
88     f = @(U)(alpha+beta)./2 + (U-alpha)./2.*erf((U-alpha)./(sqrt(2).*(Dc+Dw).*sigma))
89     - (U-beta)./2.*erf((U-beta)./(sqrt(2).*(Dc+Dw).*sigma)) + (Dc+Dw).*sigma./sqrt(2*pi)
90     .* (exp(-((U-alpha).^2)./(2.*((Dc+Dw).^2.*sigma.^2)))
91     -exp(-((U-beta).^2)./(2.*((Dc+Dw).^2.*sigma.^2)))) + dValue;
92     uValue = fzero(f, [-100000,100000]);
93     temp1(j) = uValue;
94     eValue = (uValue+dValue+dcgainW*r)/(P + dcgainW);
95     temp2(j) = eValue;
96     j=j+1;
97
98 end
99
100 aaa = d;
101 bbb = -0.4506;
102 %txt1 = '$\ \Leftarrow(0.4, -0.4506)$';
103 txt1 = '$\mbox{(0.4, -0.4506)}$';
104
105 aaa2 = -2.2025;
106 bbb2 = -d;
107 txt2 = '$\mbox{(-2.2025, -0.4)}$';
108
109 kkkk = -d*ones(size(ubar,2));
110
111 %% Asym line
112 asym = -10:0.01:10;
113
114 %% Run simultion
115 sim('DOBpractice1');
116
117 %% Figure
118 figure(1);
119 plot(Scope.time,Scope.data(:,1),'k-.','linewidth',3); hold on;
120 plot(Scope.time,Scope.data(:,2),'r','linewidth',3); hold on;
121 plot(Scope.time,Scope.data(:,3),'linewidth',3,'Color',[0.46 0.67 0.18]); hold on;
122 plot(Scope.time,Scope.data(:,5),'b--','linewidth',2); hold off;
123 set(gca,'fontsize',15);
124 xlabel('$Time(s)$');
125 ylabel('$Amplitude$');
126 legend('$r(t)$','$y(t)$ without noise','$y(t)$','${\bar y}(t)$');
127 ylim([0,2]);
128 grid on;
129
130 figure(2);
131 plot(ubar,hFunction,'b','linewidth',2); hold on;
132 plot(t1,h1,'k','linewidth',2); hold on;

```

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133 plot(t2,h2,'k','linewidth',2); hold on;
134 plot(t3,h3,'k','linewidth',2); hold on;
135 plot(aaa2,bbb2,'ro','linewidth',2); hold off;
136 set(gca,'fontsize',15);
137 text(aaa2,bbb2,txt2);
138 xlabel('$u$', 'fontsize',18);
139 legend('$h_{-1}^1(\bar{u};4.16)$', '$\mbox{sat}_{-1}^1(\bar{u})$');
140 xlim([-8,8]);
141 ylim([-1.2,1.2]);
142 grid on;
143
144 figure(3);
145 plot(temp0,temp2,'linewidth',2,'Color',[0.46 0.67 0.18]); hold on;
146 plot(aaa,bbb,'ro','linewidth',2);
147 set(gca,'fontsize',15);
148 text(aaa,bbb,txt1);
149 xlabel('$d$', 'fontsize',17);
150 ylabel('$e$', 'fontsize',17);
151 xlim([-1.2,1.2]);
152 ylim([-2,2]);
153 grid on;
154
155 figure(4);
156 plot(Scope.time,Scope.data(:,1),'k','linewidth',2); hold on;
157 %plot(Scope.time,Scope.data(:,2),'r'); hold on;
158 plot(Scope.time,Scope.data(:,3),'r','linewidth',2); hold on;
159 %plot(Scope.time,Scope.data(:,5),'b--','linewidth',2); hold off;
160 set(gca,'fontsize',15);
161 xlabel('Time(s)', 'fontsize',17);
162 ylabel('Amplitude', 'fontsize',17);
163 legend('$r(t)$', '$y(t)$');
164 ylim([0,2]);
165 grid on;
166
167 figure(5);
168 subplot(2,1,1)
169 plot(Scope.time,Scope.data(:,1),'k-','linewidth',2); hold on;
170 plot(Scope.time,Scope.data(:,2),'b','linewidth',2); hold on;
171 set(gca,'fontsize',12);
172 xlabel('$Time(s)$');
173 ylabel({'$a$', '$Amplitude$'});
174 legend('$r(t)$', '$y(t)$ without noise');
175 ylim([0,2]);
176 grid on;
177
178 subplot(2,1,2)
179 plot(Scope.time,Scope.data(:,1),'k-','linewidth',2); hold on;
180 plot(Scope.time,Scope.data(:,3),'b','linewidth',2); hold off;
181 set(gca,'fontsize',12);
182 xlabel('$Time(s)$');
183 ylabel({'$b$', '$Amplitude$'});
184 legend('$r(t)$', '$y(t)$ with noise');
185 ylim([0,2]);
186 grid on;
187
188 figure(6);
189 subplot(2,1,1)
190 plot(Scope.time,Scope.data(:,1),'k-','linewidth',2); hold on;
191 plot(Scope.time,Scope.data(:,5),'b','linewidth',2); hold on;
192 set(gca,'fontsize',12);
193 xlabel('$Time(s)$');

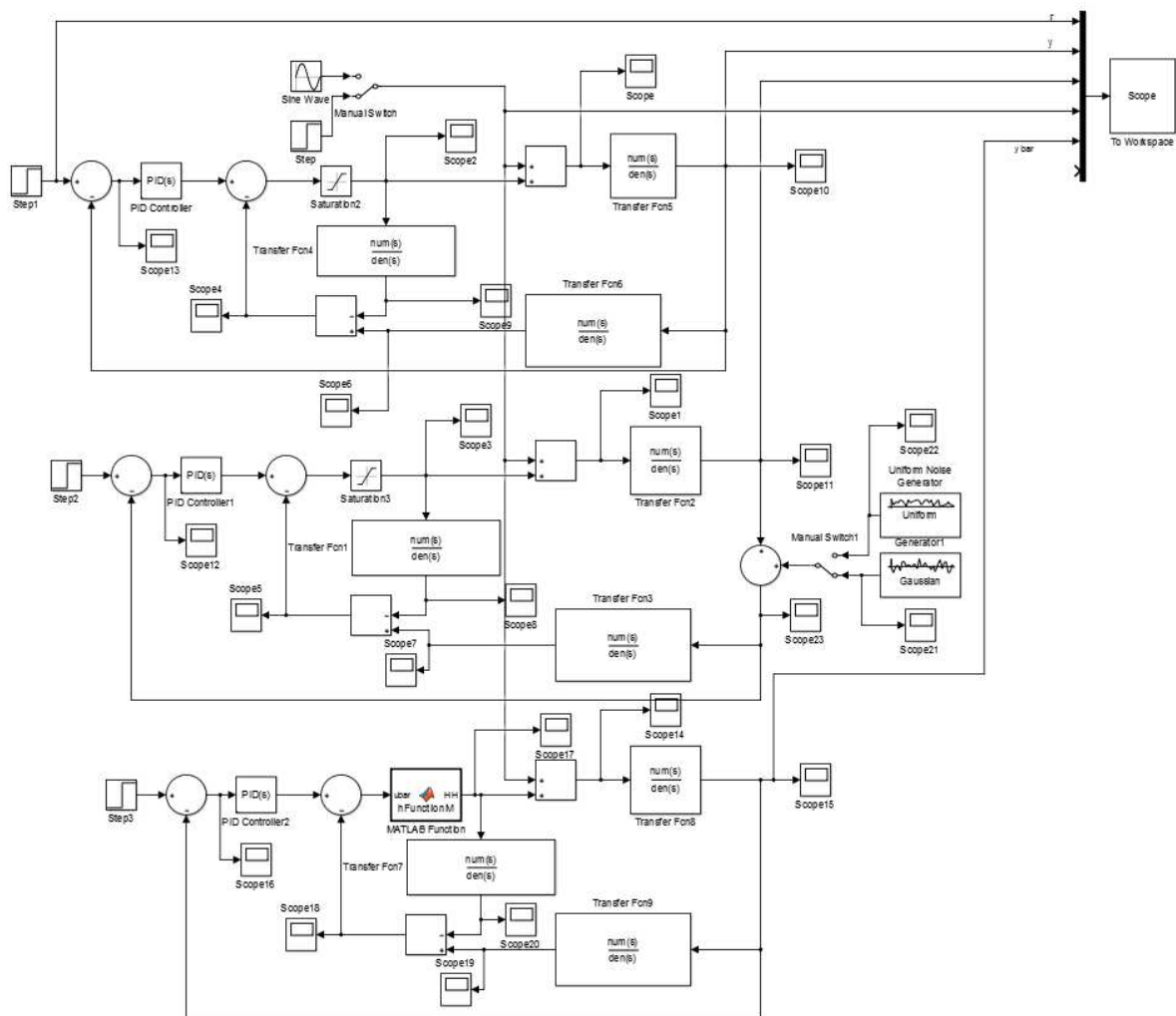
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194 ylabel({'$a$', '$Amplitude$'});
195 legend('$r(t)$', '$\{\bar{y}\}(t)$');
196 ylim([0,2]);
197 grid on;
198
199 subplot(2,1,2)
200 plot(Scope.time,Scope.data(:,1),'k-.','linewidth',2); hold on;
201 plot(Scope.time,Scope.data(:,3),'b','linewidth',2); hold off;
202 set(gca,'fontsize',12);
203 xlabel('$Time(s)$'); ylabel({'$b$', '$Amplitude$'}); legend('$r(t)$', '$y(t)$ with noise$');
204 ylim([0,2]); grid on;

```



View 4 warnings 80%

Figure 1.14: The simulink of NITE example

# 2

## Applications to Heavy-duty Vehicle Platooning

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### 2.1 Introduction

#### 2.1.1 Motivation

As international economy is gradually developed, financial gain caused by goods transport has been increased. Accordingly, goods delivery services of heavy-duty vehicles has grown importance. However, long distance service for goods transport causes serious environmental disruption problem in that heavy-duty vehicles can not avoid excessive fuel consumption. For such reasons, international society has made an effort to find appropriate solution which can reduce usage of limited natural resources. As one solution, platoon technique which can reduce fuel consumption by keeping the distance between vehicles short enough to decrease aerodynamic drag has been introduced. Nowadays, platoon technique has received much attention and has been studied a lot [29–35].

Velocity reference tracking of vehicles in platoon is important issue to keep appropriate distance. However, real vehicles can not track the velocity reference due to effect of exogenous disturbances such as slope effects. For such reason, recent papers have introduced proper solution which can compensate exogenous disturbances [36, 37]. In the paper, by using model predictive control (MPC) approach based on correct vehicle model, vehicles track reference. Especially, we want to stress that all vehicles in platoon have utilized road data in order to compensate external disturbances in the paper. However, this approach may have much weakness in the case that vehicle mass is changed by loading heavy cargo (It means that vehicle dynamics as well as disturbances are changed.) or velocities of vehicles are affected by unpredictable input disturbance. Thus, it must be hard to keep short distance among vehicles in existing MPC approach. Also, in the approach, all vehicle has

used expensive road data.

By applying new vehicle controller in existing platoon approach which is proposed in [36,37], we overcame such drawbacks several problems. Here, we presented new vehicle controller which use disturbance observer (DOB) as a sort of robust controller [3, 15–17, 38, 39]. This paper has several contribution as follows: (1) In the case that incorrect vehicle model caused by uncertain mass affects vehicle control, vehicles may not track velocity reference. However, in this proposed method, vehicles in platoon compensate effect of model uncertainty and tracks reference. (2) Vehicles may be affected by incorrect disturbances caused by change of mass, wrong slope data obtained from GPS malfunction, frequent changes of road friction and rolling coefficient, etc. If vehicles in platoon are affected by unknown exogenous disturbances, vehicles must not track velocity references. However, proposed method compensates exogenous disturbances. (3) In existing MPC approach in [36,37], all vehicles need road data to compensate external disturbances. If all vehicles use road data, expensive fee must be paid. However, in this proposed approach, fee is considerably reduced in that only first vehicle must not need road slope data to produce velocity profile of platoon coordinator.

### 2.1.2 Chapter Outline

The outline of this paper is as follows: In Section 2.2, we explain platoon architecture. In Section 2.3, vehicle and platoon model is proposed. In Section 2.4, vehicle controller based on disturbance observer is presented. In Section 2.5, platoon coordinator is briefly introduced. In Section 2.6, simulations are conducted by using real road data taken in highways of Sweden. In Section 2.7, conclusion are formulated.

## 2.2 Platoon Architecture

Platoon architecture consists of mission planner, road data base, platoon coordinator, and vehicle controller, and this is shown in Fig. 2.1. Mission planner suggests platoon opportunities to vehicles on the roadway and sends mean velocity which vehicles in platoon should follow. Road data base sends slope data, speed limit (minimum and maximum speed) on the roadway segment to first vehicle fitted with platoon coordinator. Here, initial road data is stored by previous platoon vehicles. Thus, road which previous vehicles passed is updated consistently. See Fig. 2.4. We can check that real road data is similar estimated road data taken in previous platoon. Based on such information, platoon coordinator

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uses dynamic programming formulation and produces optimal speed profile over absolute position that can reduce fuel consumption while maintaining mean velocity. After sending optimal velocity profile to each vehicle, each reference generator fitted with vehicle make new speed profile with respect to optimal speed profile obtained from platoon coordinator and speed data of preceding vehicles. In order to track new speed profile, each vehicle calculates the amount of fuel which is injected into vehicle and sprays it to engine system.

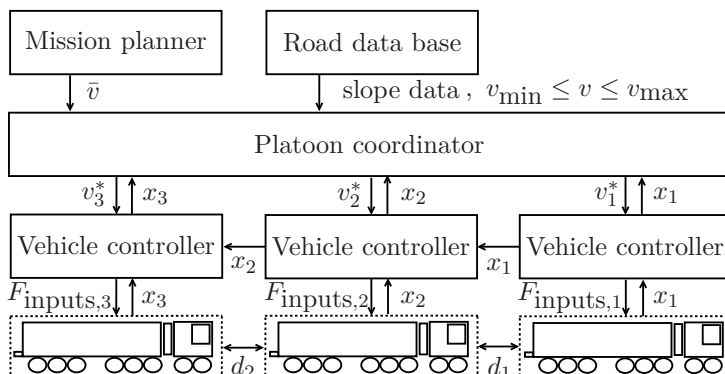


Figure 2.1: Platoon architecture.

Based on wireless sensor unit, information exchange of vehicles within platoon is operated in real time. Global positioning system (GPS) computes absolute position of each vehicle and radar device measures distance and relative speed between current vehicle and preceding vehicle. Also, engine and gear management system controls engine and brake management system controls the braking actuators. For detailed information, see [36,37].

In order to evaluate suitability of proposed platoon approach, we use actual measurement data taken in highways of Sweden shown in Fig. 2.2 and Fig. 2.3.

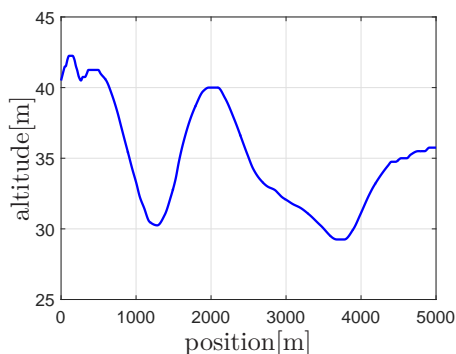


Figure 2.2: Actual altitude data taken in highways of Sweden

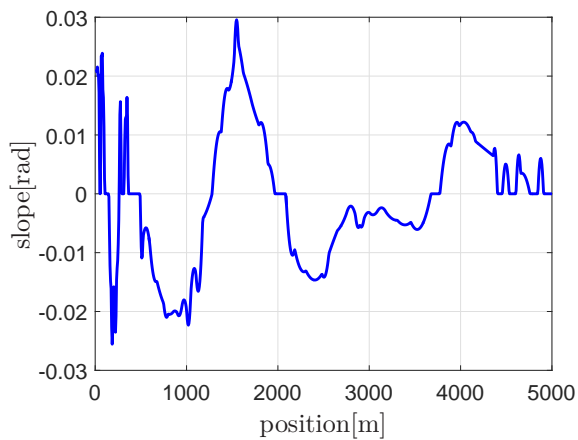


Figure 2.3: Actual slope data taken in highways of Sweden

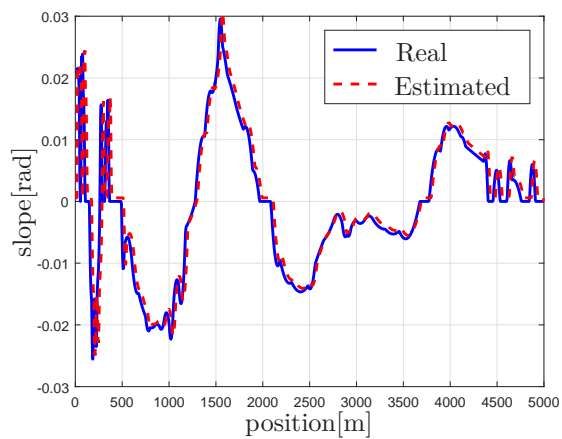


Figure 2.4: Estimated slope data taken in preceding platoon

## 2.3 Vehicle and Platoon model

In this section, we define single vehicle model and platoon model utilized in the two control layers. By Newton's second law, the longitudinal dynamics of single vehicle model are defined as

$$\begin{aligned} m_i \dot{v}_i &= F_{\text{engine},i} + F_{\text{brake},i} + F_{\text{ext},i} \\ v_i &= \dot{s}_i \end{aligned} \tag{2.1}$$

where  $m_i$ ,  $v_i$ ,  $s_i$  denote mass, speed, and longitudinal position of vehicle  $i$ , respectively, and  $F_{\text{engine},i}$ ,  $F_{\text{brake},i}$ ,  $F_{\text{ext},i}$  are forces generated by engine system and braking system, and external force. More specifically, we assume that exogenous disturbance consists of three kinds of forces and is defined as

$$F_{\text{ext},i} = F_{\text{gravity},i}(\alpha(s_i)) + F_{\text{roll},i}(\alpha(s_i)) + F_{\text{drag},i}(v_i, d_i) \quad (2.2)$$

where  $F_{\text{gravity},i}(\alpha(s_i))$ ,  $F_{\text{roll},i}(\alpha(s_i))$ ,  $F_{\text{drag},i}(v_i, d_i)$  are force occurring due to gravity, rolling resistance, and aerodynamic force, respectively. See Fig.2.5. We assume that engine

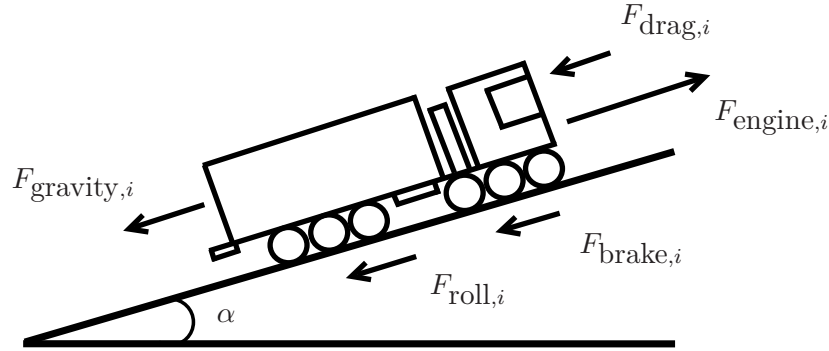


Figure 2.5: Forces acting on vehicle  $i$ .

force of vehicle  $i$  has specific saturation region represented as

$$\frac{P_{\text{min},i}}{v_i} \leq F_{\text{engine},i} \leq \frac{P_{\text{max},i}}{v_i} \quad (2.3)$$

where  $P_{\text{min},i}$ ,  $P_{\text{max},i}$  are minimum and maximum engine power of vehicle  $i$ , respectively. We assume that braking force of vehicle  $i$  is bounded by road friction and is modeled as

$$-m_i \eta_i g \mu_i \leq F_{\text{brake},i} \leq 0 \quad (2.4)$$

where  $\mu_i$ ,  $\eta_i$  are road friction coefficient and braking system efficiency, respectively. We assume that  $F_{\text{gravity},i}(\alpha(s_i))$  is modeled as

$$F_{\text{gravity},i}(\alpha(s_i)) = -m_i g \sin(\alpha(s_i)) \quad (2.5)$$

where  $\alpha(s_i)$  denotes road slope at position  $s_i$  and  $g$  denotes gravity acceleration. We assume that  $F_{\text{roll},i}(\alpha(s_i))$  is modeled as

$$F_{\text{roll},i}(\alpha(s_i)) = -c_r m_i g \cos(\alpha(s_i)) \quad (2.6)$$

where  $c_r$  denotes rolling coefficient. We assume that  $F_{\text{drag},i}$  is modeled as

$$F_{\text{drag},i}(v_i, d_i) = -\frac{1}{2}\rho A_v C_D(d_i)v_i^2 \quad (2.7)$$

where  $\rho$ ,  $A_v$  are air density and cross-sectional area, respectively, and  $C_D(d_i)$  is air drag coefficient defined as a function of distance between current vehicle and its preceding vehicle and is assumed to be modeled as

$$C_D(d_i) = C_{D0}\left(1 - \frac{C_{D1}}{C_{D2} + d_i}\right) \quad (2.8)$$

where parameter  $C_{D0}$  denotes coefficient determined by shape of vehicle  $i$  and also,  $C_{D1}$ ,  $C_{D2}$  are coefficients obtained by regressing the experimental data and  $d_i$  denotes distance defined as

$$d_i = \begin{cases} \infty, & i = 1 \\ s_{i-1} - s_i - l_i, & 2 \leq i \leq N_v \end{cases} \quad (2.9)$$

where  $l_i$  denotes length of vehicle  $i$ . From (2.7) and (2.8), we get to know the fact that short distance between vehicles means low aerodynamic resistance and fuel efficiency is consequently enhanced. Simplified fuel model used in platoon coordinator layer is defined as

$$\delta_i = p_{1,i}F_{\text{engine},i}v_i + p_{0,i} \quad (2.10)$$

where  $\delta_i$  denotes fuel flow and  $p_{1,i}$ ,  $p_{0,i}$  are coefficients related to fuel consumption rate. We assume that gear changes are not taken into account for simplicity.

## 2.4 Vehicle Controller

Vehicle controller architecture is consist of reference generator, and DOB based controller with braking system for safe platoon and shown in Fig. 2.6. Based on velocity profile obtained from platoon coordinator  $v_i^*(z_k)$  and velocity data over position of preceding vehicle  $v_{i-1}(z_k)$ , reference generator makes new velocity reference  $v_{\text{ref},i}$  which vehicle should track.

### 2.4.1 Reference Generator

This section defines new velocity reference  $v_{\text{ref},i}(z_k)$  in order to compensate vehicle deviation in platoon. First vehicle follows optimal velocity profile which platoon coordinator

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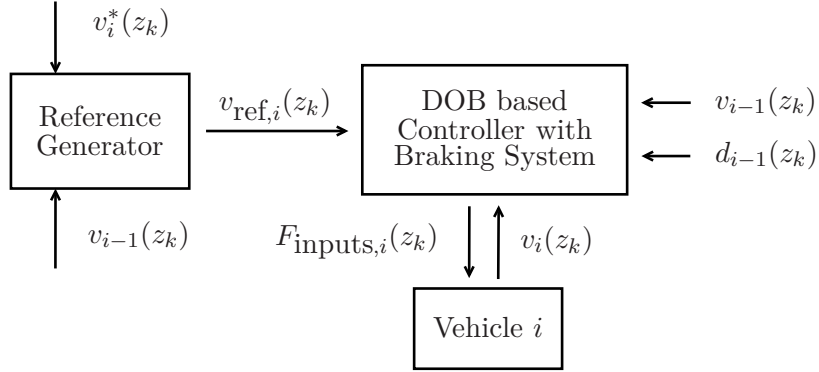


Figure 2.6: Vehicle controller architecture.

produces and following vehicles track mixed velocity reference utilizing velocity of leading vehicle and optimal velocity:

$$\begin{aligned}
 v_{\text{ref},1}(z_k) &= v_1^*(z_k) \\
 v_{\text{ref},2}(z_k) &= K_2 v_2^*(z_k) + (1 - K_2) v_1(z_k) \\
 &\vdots \\
 v_{\text{ref},N_v}(z_k) &= K_{N_v} v_{N_v}^*(z_k) + (1 - K_{N_v}) v_{N_v-1}(z_k)
 \end{aligned} \tag{2.11}$$

where  $z_k$  is discretized space variable, and  $K_2, \dots, K_{N_v}$  are arbitrary positive weighting parameters less than 1.

#### 2.4.2 DOB based Controller with Braking System

Consider a block diagram shown in Fig. 2.7. Vehicle controller uses disturbance observer (DOB) as robust control tool. Signals  $u_i$ ,  $n$ ,  $\tilde{F}_{\text{ext}}$ ,  $F_{\text{est}}$  are, respectively, control signal, measurement noise, unknown external disturbance, estimated disturbance. Here, actual measurement noise reported in [40] is induced into control system.

Transfer function of uncertain vehicle model is defined as

$$\tilde{P}_i(z) = \frac{T_s}{\tilde{m}_i(z-1)} \tag{2.12}$$

where  $\tilde{m}_i$ ,  $T_s$  are uncertain mass of vehicle  $i$  and sampling time, respectively. Transfer function of nominal vehicle model is modeled as

$$P_i(z) = \frac{T_s}{m_i(z-1)} \tag{2.13}$$



In the case that speed and position trajectories deviates significantly from the reference ones, vehicle may require safety braking system to avoid severe collision. If vehicle violates safety law, braking system of vehicle operates. The safety law is defined as follows:

$$d_i \geq d_{\text{safe},i} \quad (2.18)$$

where  $d_{\text{safe},i}$  is defined as

$$\begin{aligned} d_{\text{safe},i} &= \frac{v_{i-1}^2}{2\underline{a}_{\text{min},i-1}} - \frac{v_i^2}{2\bar{a}_{\text{min},i}} \\ \underline{a}_{\text{min},i} &= -\mu_{\text{max}}\eta_{\text{max}}g - g\sin(\alpha_{\text{max}}) - c_{r,\text{max}}g - \frac{1}{2m_{\text{min}}}\rho A_v C_{D0}v_{\text{max}}^2 \\ \bar{a}_{\text{min},i} &= -\mu_{\text{min}}\eta_{\text{min}}g - c_{r,\text{min}}g \end{aligned} \quad (2.19)$$

To get detailed information about safety law, see [36, 37].

## 2.5 Platoon Coordinator

We use platoon coordinator algorithm which is introduced in [36, 37]. Platoon coordinator is the higher layer of platoon control architecture and takes average speed requirement from mission planner and current vehicle state from vehicles. By using the available information on the planned route, it generates a unique optimal speed profile  $v_i^*$  which minimizes the fuel consumption of the whole platoon, while maintaining a certain average speed. Here, platoon coordinator layer uses a DP framework to compute optimal velocity profile. Parameters which characterize DP problem are discretization space  $\Delta s_{\text{DP}}$ , horizon length  $H_{\text{DP}}$ , and refresh frequency  $f_{\text{DP}}$ . Horizon space length is defined as  $S_{\text{DP}} = H_{\text{DP}}\Delta s_{\text{DP}}$ . In space domain, platoon coordinator layer uses a discretized version of (2.1) and is represented as

$$\begin{aligned} m_i v_i(z_k) \frac{v_i(z_k) - v_i(z_{k-1})}{\Delta s_{\text{DP}}} &= F_{\text{engine},i}(z_k) + F_{\text{brake},i}(z_k) - m_i g \sin(\alpha(z_k)) \\ &\quad - c_r m_i g \cos(\alpha(z_k)) - \frac{1}{2} \rho A_v C_D(d_i(z_k))(v_i(z_k))^2 \\ v_i(z_k) \frac{t_i(z_k) - t_i(z_{k-1})}{\Delta s_{\text{DP}}} &= 1 \end{aligned} \quad (2.20)$$

where parameters  $z_k$ ,  $v_i(z_k)$  denote discretized space and speed and forces  $F_{\text{engine},i}(z_k)$ ,  $F_{\text{brake},i}(z_k)$  are engine force and braking force expressed as function of discretized space,

respectively. In DP formulation, we refer to (2.20) as  $v_i(z_{k-1}) = f_{v,i}(v_i(z_k), u_i(z_k))$  where  $u_i(z_k)$  is the input vector defined as  $u_i(z_k) = [F_{e,i}(z_k), F_{b,i}(z_k)]^T$ .

Platoon model is bounded using input constraints and state constraints. According to (2.3) and (2.4), engine force and braking force are bounded by

$$\begin{aligned} \frac{P_{\min,i}}{v_i(z_k)} &\leq F_{\text{engine},i}(z_k) \leq \frac{P_{\max,i}}{v_i(z_k)} \\ -m_i\eta_i g\mu_i &\leq F_{\text{brake},i}(z_k) \leq 0 \end{aligned} \quad (2.21)$$

In the DP formulation, we refer to these constraints as  $u_i(z_k) \in U_i(z_k)$ . Considering the road speed limit obtained from road data base, vehicle speed is bounded by

$$v_{\min}(z_k) \leq v_i(z_k) \leq v_{\max}(z_k) \quad (2.22)$$

We refer to this constraint as  $v_i(z_k) \in V(z_k)$ . All the vehicles are required to track the same speed profile at same position and it is represented as

$$v_1(z_k) = v_2(z_k) = \dots = v_{N_v}(z_k) = v(z_k) \quad (2.23)$$

This constraint reduces complex computation by reducing the search space of DP algorithm to one dimension. The cost function of platoon coordinator layer is divided into two term which are consist of  $J_{\text{fuel}}$  as overall fuel amount consumed by vehicles in platoon and  $J_{\text{travel}}$  defined as travel time over space.

$$J_{\text{DP}} = J_{\text{fuel}} + \beta J_{\text{travel}} \quad (2.24)$$

where parameter  $\beta$  represents weighting factor. The fuel term uses both (2.10) and kinematic energy of platoon at the end of horizon and the travel term uses (2.20).

$$\begin{aligned} J_{\text{fuel}} &= \sum_{i=1}^{N_v} \sum_{j=k}^{k+H_{\text{DP}}-1} \Delta s_{\text{DP}} \left( p_{1,i} F_{\text{engine},i} + \frac{p_{0,i}}{v(z_k)} \right) \\ &\quad - \sum_{i=1}^{N_v} p_{1,i} \frac{m_i (v(z_h + H_{\text{DP}} - 1))^2}{2} \\ J_{\text{travel}} &= \sum_{j=k}^{k+H_{\text{DP}}-1} \frac{\Delta s_{\text{DP}}}{v(z_j)} \end{aligned} \quad (2.25)$$

The DP formulation is defined as

$$\begin{aligned}
& \min_{u_i(z_j)} J_{\text{DP}} \\
& \text{subj. to } v_i(z_{j-1}) = g_i(v_i(z_j), u_i(z_j)) \\
& \quad u_i(z_j) \in U_i(z_j) \\
& \quad v_i(z_j) = v(z_j) \in V(z_j) \\
& \quad z_k = s_1(t) \\
& \quad v(z_k) = v_1(t)
\end{aligned} \tag{2.26}$$

for  $j = k, \dots, k + H_{DP} - 1$ . For more information about platoon coordinator, see [36, 37].

## 2.6 Simulation

Various simulations are conducted to validate the effectiveness of the proposed vehicle controller and use real measurement road data. We assume that 3 vehicles are gathered for platoon. Especially, we focus on scenario where all vehicles in platoon have different masses and facts that this proposed approach does not need actual road data. (As we mentioned previous chapter, platoon coordinator must need actual road data for producing optimal velocity reference.) It means that platooning can not avoid usage of expensive road data. This may be much large disadvantage in the aspect of fee. At first, for validation of our idea, we will define parameters as follow:

$$\begin{aligned}
m_1 &= m_2 = m_3 = 40\text{t}, \\
\tilde{m}_1 &= 40\text{t}, \tilde{m}_2 = 36\text{t}, \tilde{m}_3 = 44\text{t}, \\
c_{r,1} &= c_{r,2} = c_{r,3} = 0.003, \\
\tilde{c}_{r,1} &= 0.028, \tilde{c}_{r,2} = 0.003, \tilde{c}_{r,3} = 0.0032, \\
\mu_1 &= \mu_2 = \mu_3 = 0.8, \\
\tilde{\mu}_1 &= 0.77, \tilde{\mu}_2 = 0.78, \tilde{\mu}_3 = 0.81,
\end{aligned} \tag{2.27}$$

The changes of mass and several disturbances makes vehicles not to keep platoon. This means that all vehicles are affected by different size of disturbances. For compensation of this effect, we presents new vehicle controller based on disturbance observer which compensates exogenous disturbance and makes real vehicle behave like nominal vehicle in steady state. Various plots are obtained from MATLAB/SIMULINK. See Fig. 2.8, Fig. 2.9, Fig. 2.10. We can check that real vehicles are affected by several disturbances.

Especially, we can check that gravity force by changes of slope has biggest values in those graphs. We also show exogenous disturbance and estimated disturbance. They are very similar and this means that controller compensates effect of disturbances.

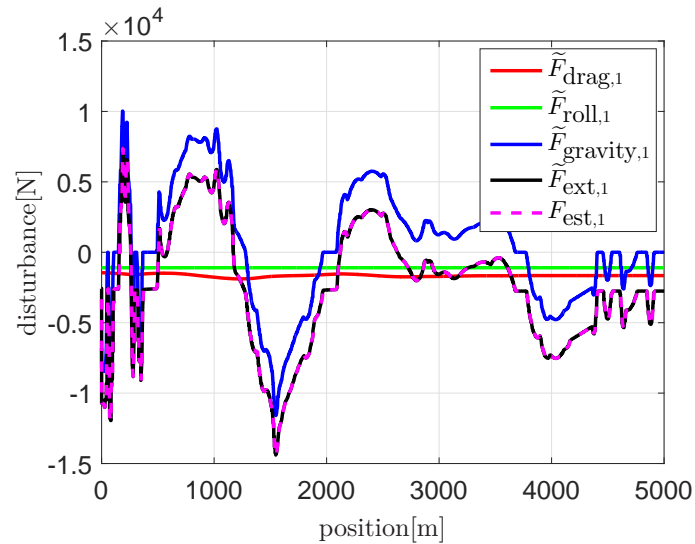


Figure 2.8: Exogenous disturbance and estimated disturbance of vehicle 1

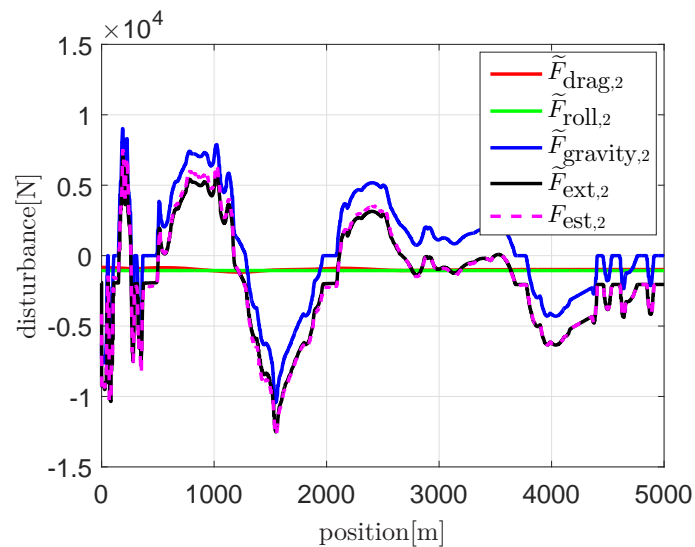


Figure 2.9: Exogenous disturbance and estimated disturbance of vehicle 2

Fig. 2.11 shows velocities of vehicles over position. Each vehicle in platoon tracks

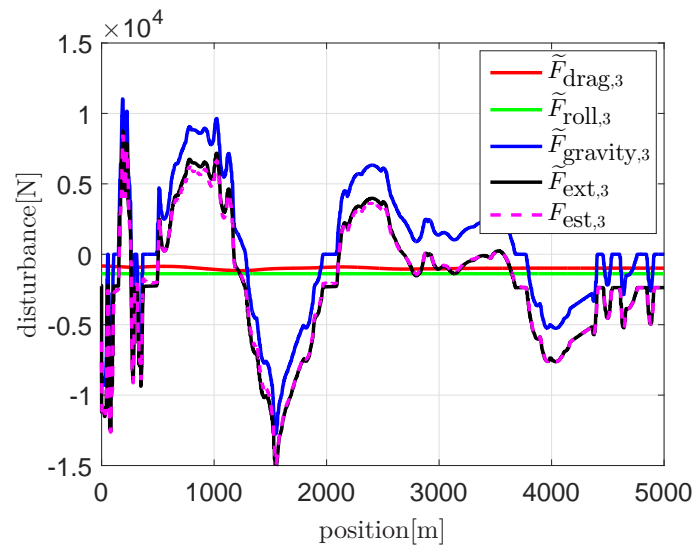


Figure 2.10: Exogenous disturbance and estimated disturbance of vehicle 3

reference produced by reference generator and also vehicles has almost same speed.

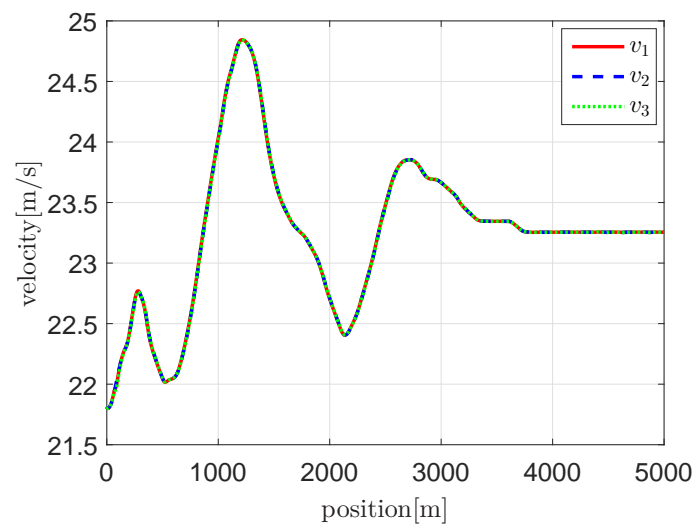


Figure 2.11: Velocities of vehicles

The distance between vehicles and safe distance are plotted in Fig. 2.12. If distance between vehicles violates safe distance, braking systems will be operated until they satisfy gap over safe distance again.

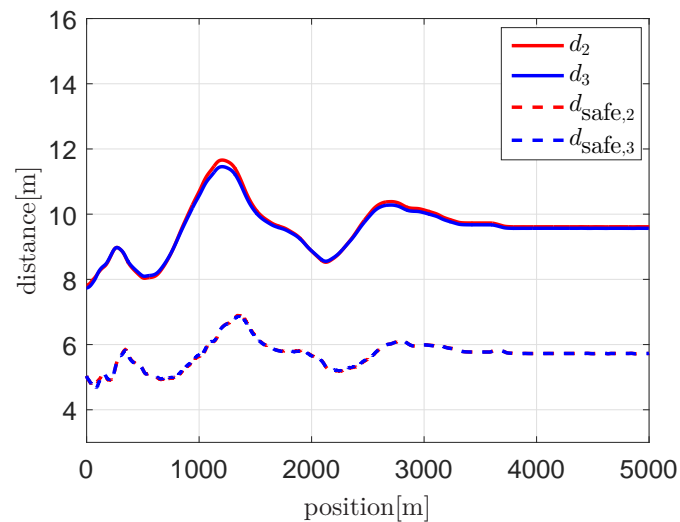


Figure 2.12: Distance over position

See Fig. 2.13 and this shows aerodynamic drag of 3 vehicles in platoon. We can check that second and third vehicles are affected by small aerodynamic drag rather than first vehicle, although first vehicle takes largest aerodynamics force of 3 vehicles. Here, we can know effect of platoon.

We show inputs of vehicles. They have different size of input at the same position because of different mass. Also, we can check that all input are bounded by upper limits.

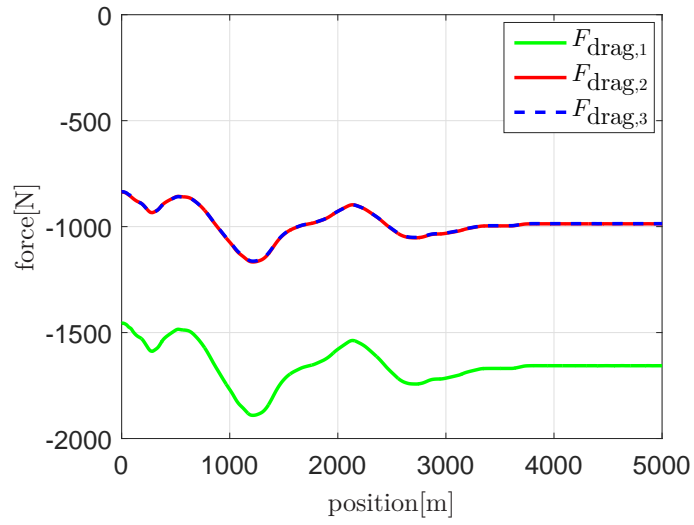


Figure 2.13: Aerodynamic drag of 3 vehicles in platoon

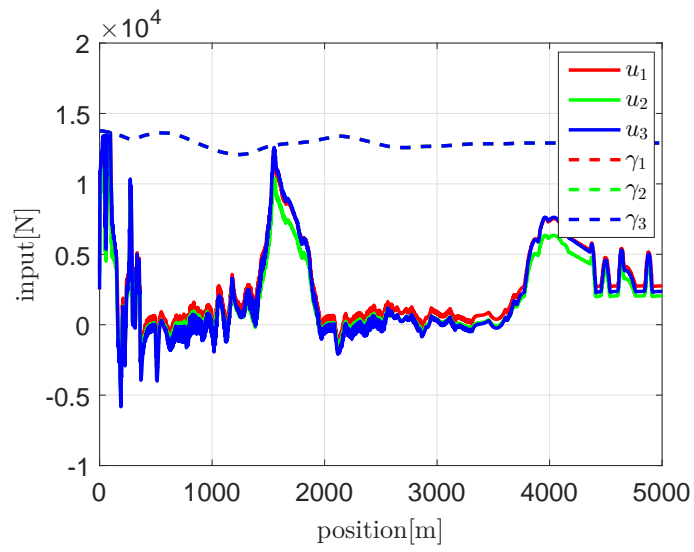


Figure 2.14: Inputs of vehicles

Fig. 2.15 shows fuel consumption of vehicles. Red bar means vehicles in the roadway operated without road data, it means vehicle does not use platoon coordinator. So Red bar is highest in 3 bars. Green bar means vehicles in the roadway use platoon coordinator. This approach is best method, but vehicles need expensive road data. However, in the case of using Disturbance Observer, vehicles use estimated road data, and then, vehicles reduce fuel consumption.

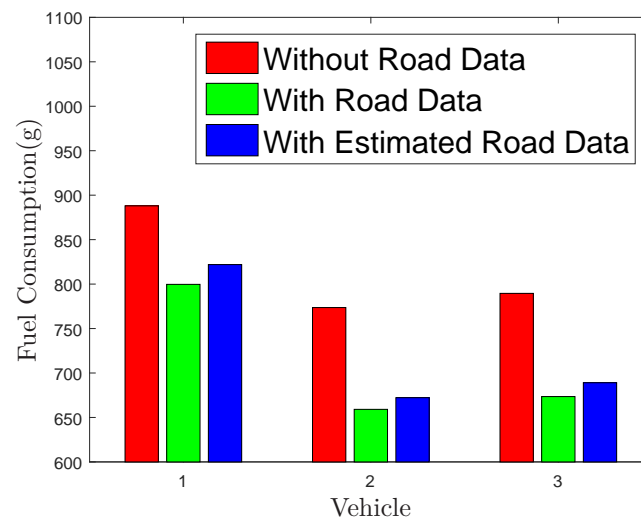


Figure 2.15: Fuel consumption of vehicles

Through simulations, we can know that proposed vehicle controller are quite useful.

## 2.7 Conclusions

By keeping the distance between vehicles short enough to decrease aerodynamic drag, heavy-duty vehicle platooning can reduce fuel consumption. Major disturbances in the platooning are the slope in the road and uncertain mass of the trucks. Existing method to reduce the effect of slope is to combine the vehicle position obtained from GPS and the slope database to calculate the amount of feed-forward type compensation. However, slope database is costly to acquire, and GPS signal is unreliable in some locations. We present vehicle controller based on disturbance observer to compensate the effect of slope and mass without relying on the GPS and the slope database. Actual measurement of the road slope taken in highways of Sweden was used and simulation was conducted.

## 2.8 MATLAB CODE

I have saved platoon code in the common fold. To understand code information more perfectly, I recommend you to check files in common fold.

### 2.8.1 Initialization

```

1 clear all
2 clc
3
4
5 %% Load files
6 load road_profile_Mariefred_Eskilstuna.mat;
7 %load Mariefred_Eskilstuna.mat; % sampled road data
8 load powertrain_model;
9
10 %slope = SLOPE;
11 %distance = DISTANCE;
12 %altitude = ALTITUDE;
13
14 %% Vehicle Operation Time(s)
15 OperationTime = 300;
16
17 %% Platoon coordinator
18 n_vehicles = 3;
19 nominal_mass = 40000; %% Nominal mass of the vehicles(kg)
20 nominal_length = 18;
21 nominal_cr = 0.003;
22 nominal_Pmax = 300000;
23 nominal_Pmin = -8994.5;
24 nominal_engine_fuel_coeff = [0; fuelp_coeff1(1); 0];
25 nominal_mass_vec = nominal_mass*ones(1,n_vehicles);
26 nominal_length_vec = nominal_length*ones(1,n_vehicles);
27 nominal_cr_vec = nominal_cr*ones(1,n_vehicles);
28 nominal_Pmin_vec = nominal_Pmin*ones(1,n_vehicles);
29 nominal_Pmax_vec = nominal_Pmax*ones(1,n_vehicles);
30 nominal_engine_fuel_coeff_vec = repmat(nominal_engine_fuel_coeff,1,n_vehicles);
31
32 open_loop_pc = 1;
33 % 1 to use the platoon coordinator in openloop, note:

```

---

---

```

34 % fix the variable s_pred_DP inside the platoon coordinator block
35 if open_loop_pc
36     dt_pc           = 40000;
37     % [s] refresh time of the platoon coordinator block, large value for using it in open loop
38     s_pred_DP      = 8000;
39     % [m] length of the prediction horizon
40 else
41     dt_pc           = 4;
42     s_pred_DP      = 4000;
43 end
44 CLAC               = 1;
45 % 1 for clac, 0 for lac (optimization consider the full consumption of only the first vehicle)
46 ave_v_ref          = 22.00;
47 max_v_ref          = 25;
48 % [m/s] max speed
49 max_err_v          = 0.02;
50 fixed_end_v        = 0;
51 % 1 for fixing the speed at the end of the horizon of the dynamic programming
52 end_v              = 22;
53 % final speed at the end of the horizon of the dynamic programming (if fixed_end_v = 1)
54 beta_tuning        = 0;
55 % 1 for the in block tuning of beta in order to obtain the reference average speed
56 k_beta             = 0.01;
57 s_discre_DP        = 6;
58 hp_DP              = floor(s_pred_DP/s_discre_DP);
59 % number of steps of the prediction horizon
60 % setting beta_init, i.e., the initial value for the trade-off parameter between fuel and time
61 if n_vehicles == 2
62     if CLAC
63         beta_init = 7.3873e-3;
64     else
65         beta_init = 4.2878e-3;
66     end
67 elseif n_vehicles == 3
68     if CLAC
69         beta_init = 10.3056e-3;
70     else
71         beta_init = 7.3873e-3;
72     end
73 elseif n_vehicles == 4
74     if CLAC
75         beta_init = 13.9990e-3;
76     else
77         beta_init = 7.3873e-3;
78     end
79 end
80 end
81 InitialPosition = -300; % Initial position of the vehicle(m)
82 Vehicle1Length = 18; % Length of the vehicle(m)
83 Vehicle2Length = 18;
84 Vehicle3Length = 18;
85 InitialDistanceBetweenVehicles12 = 8; % Initial distance between vehicles(m)
86 InitialDistanceBetweenVehicles23 = 8;
87 time_gap(2) = (InitialDistanceBetweenVehicles12+Vehicle1Length)/22;
88 time_gap(3) = (InitialDistanceBetweenVehicles23+Vehicle2Length)/22;
89 InitialPosition1 = InitialPosition+InitialDistanceBetweenVehicles12
90 +Vehicle1Length+InitialDistanceBetweenVehicles23+Vehicle2Length;
91 v_init = 22;
92 Xref_pc_init = [InitialPosition1+6*(-(hp_DP+40-1):0)' , v_init*ones(hp_DP+40,1)];
93 road_s_vec = (0:6:distance(end))';
94 road_h_vec = interp1(distance,altitude,road_s_vec,'pchip');
95 road_vmax_vec = max_v_ref*ones(size(road_s_vec));
96 road_info = [road_s_vec road_h_vec road_vmax_vec];
97 vehicles_info = [nominal_mass_vec];

```

---

```

102             nominal_length_vec;
103             nominal_cr_vec];
104 engine_info      = [nominal_Pmin_vec;
105                   nominal_Pmax_vec;
106                   nominal_engine_fuel_coeff_vec];
107
108 %% Vehicle controller & Plant(Vehicles)
109 T = 0.05; % Sampling Time
110
111 dBm = -120;
112 Noisepower = 10.^((dBm-30)/10); % Band-limited white noise
113
114 g = 9.81; % Gravity acceleration
115
116 nominal_Eb = 1;
117 Vehicle1Eb = 1; % Braking efficiency of vehicle 1
118 Vehicle2Eb = 0.98; % Braking efficiency of vehicle 2
119 Vehicle3Eb = 0.99;
120
121 nominal_Cf = 0.8;
122 Vehicle1Cf = 0.77; % Friction coefficient of vehicle 1
123 Vehicle2Cf = 0.78; % Friction coefficient of vehicle 2
124 Vehicle3Cf = 0.81;
125
126 Vehicle1Cr = 0.0028; % Rolling resistance of vehicle 1
127 Vehicle2Cr = 0.0030; % Rolling resistance of vehicle 2
128 Vehicle3Cr = 0.0032;
129
130 Cd0 = 0.5271; % Coefficient Cd0 of aerodynamic drag
131 Ad = 1.225; % Air density
132 Vehicle1Av = 9.487; % Av of vehicle 1
133 Vehicle2Av = 9.487; % Av of vehicle 2
134 Vehicle3Av = 9.487;
135
136 Vehicle1Pmax = 300000; % Pmax, Pmin
137 Vehicle1Pmin = -8994.5;
138 Vehicle2Pmax = 300000;
139 Vehicle2Pmin = -8994.5;
140 Vehicle3Pmax = 300000;
141 Vehicle3Pmin = -8994.5;
142
143 P = 40000; % Outer controller gain of PID
144 I = 0;
145 D = 0;
146
147 DOB_switch = 1; % Discrete-time DOB switch
148 DOB_type = 0; % Control gain of discrete-time DOB
149
150 if DOB_type == 0
151     Qn_z = 1;
152     Qd_z = [1 0];
153 elseif DOB_type == 1
154     Qn_z = [2 -1];
155     Qd_z = [1 0 0];
156 elseif DOB_type == 2
157     Qn_z = [3 -3 1];
158     Qd_z = [1 0 0 0];
159 end
160
161 Pnn_z = T;
162 Pnd_z = nominal_mass*[1 -1];
163
164 QPnn_z = conv(Pnd_z,Qn_z);
165 QPnd_z = conv(Pnn_z,Qd_z);
166
167 M1 = 40000; % Real mass of the vehicle1(kg)
168 M2 = 36000; % Real mass of the vehicle2(kg)
169 M3 = 44000;
170

```

---

```

171 %M1 = 40000; % Real mass of the vehicle1(kg)
172 %M2 = 40000; % Real mass of the vehicle2(kg)
173 %M3 = 40000;
174
175 Ac1 = 0; % Plant(vehicle1,vehicle2, vehicle3), state space form
176 Bc1 = 1;
177 Cc1 = 1/M1;
178 Dc1 = 0;
179
180 Ac2 = 0;
181 Bc2 = 1;
182 Cc2 = 1/M2;
183 Dc2 = 0;
184
185 Ac3 = 0;
186 Bc3 = 1;
187 Cc3 = 1/M3;
188 Dc3 = 0;
189
190 InitialVehicle1Velocity = M1*22;
191 % Plant(vehicle1,vehicle2, vehicle3), Initial value of state space form
192 InitialVehicle2Velocity = M2*22;
193 InitialVehicle3Velocity = M3*22;
194
195 %% vRef parameter
196 vRefParameter = 0.9;
197
198 %% saturation-region
199 sat_region_on = 0;
200 % (sat_region_on = 1 for sat_min = 0) , (sat_region_on = 0 for sat_min = sat_min)
201
202 %% The range of vehicle parameters(v,m,slope,distance,eb,cr)
203 %These are necessary parameters to set the braking safe system
204
205 Vehicle_Eb_max = 1;
206 Vehicle_Eb_min = 0.97;
207 Vehicle_v_max = max_v_ref;
208 Vehicle_v_min = 0;
209 Vehicle_m_max = 45000;
210 Vehicle_m_min = 35000;
211 Vehicle_Cf_max = 0.83;
212 Vehicle_Cf_min = 0.77;
213 Vehicle_Cr_max = 0.0032;
214 Vehicle_Cr_min = 0.0028;
215 if abs(max(slope)) >= abs(min(slope))
216     Vehicle_slope_max = abs(max(slope));
217     Vehicle_slope_min = 0;
218 else
219     Vehicle_slope_max = abs(min(slope));
220     Vehicle_slope_min = 0;
221 end
222 Av = 9.487;
223 Vehicle_Aerodynamic_max = 1/(2*Vehicle_m_min)*Ad*Av*Cd0*(1)*(Vehicle_v_max^2);
224 Vehicle_Aerodynamic_min = 1/(2*Vehicle_m_max)*Ad*Av*Cd0*(1-14.666./26.666)*(Vehicle_v_min^2);
225
226 soft_braking_coeff = 1;
227 % Min_MinimumAcceleration
228 min_min_a = -Vehicle_Cf_max*Vehicle_Eb_max*g - g*sin(Vehicle_slope_max)
229 - Vehicle_Cr_max*g*cos(Vehicle_slope_min) - Vehicle_Aerodynamic_max;
230 % Max_MinimumAcceleration
231 max_min_a = -Vehicle_Cf_min*Vehicle_Eb_min*g - g*sin(Vehicle_slope_min)
232 - Vehicle_Cr_min*g*cos(Vehicle_slope_max) - Vehicle_Aerodynamic_min;
233
234 %% Run simulation
235 sim('platooningDOB');
236 %sim('platooningDOB_rev1');
237
238 %% Plot

```

---

---

```

239 minxlimit = 0; % xlimit of graph
240 maxxlimit = 5000;
241
242 % Slope graph from Mariefred to Eskilstuna
243 figure(1);
244 plot(distance,slope,'b','linewidth',2);
245 set(gca,'fontsize',15);
246 xlim([minxlimit,maxxlimit]);
247 xlabel('$position[m]$', 'fontsize',15);
248 ylabel('$slope$', 'fontsize',15);
249 grid on;
250
251 % Altitude graph from Mariefred to Eskilstuna
252 figure(2);
253 plot(distance,altitude,'b','linewidth',2);
254 set(gca,'fontsize',15);
255 xlim([minxlimit,maxxlimit]);
256 xlabel('$position[m]$', 'fontsize',15);
257 ylabel('$altitude[m]$', 'fontsize',15);
258 grid on;
259
260 % Velocity plot
261 figure(3);
262 plot(ScopeData.data(:,1),ScopeData.data(:,4),'r','linewidth',2); hold on;
263 plot(ScopeData.data(:,2),ScopeData.data(:,5),'b--','linewidth',2); hold on;
264 plot(ScopeData.data(:,3),ScopeData.data(:,6),'g:', 'linewidth',2); hold off;
265 set(gca,'fontsize',15);
266 xlim([minxlimit,maxxlimit]);
267 ylim([21.5,25]);
268 xlabel('$position[m]$', 'fontsize',15);
269 ylabel('$velocity$', 'fontsize',15);
270 legend('$v_{1}$','$v_{2}$','$v_{3}$');
271 grid on;
272
273 % Distance between vehicles graph
274 figure(4)
275 plot(ScopeData.data(:,2),ScopeData.data(:,8),'r','linewidth',2); hold on;
276 plot(ScopeData.data(:,3),ScopeData.data(:,9),'b','linewidth',2); hold on;
277 plot(ScopeData.data(:,2),ScopeData.data(:,10),'r--','linewidth',2); hold on;
278 plot(ScopeData.data(:,3),ScopeData.data(:,11),'b--','linewidth',2); hold off;
279 set(gca,'fontsize',15);
280 xlim([minxlimit,maxxlimit]);
281 ylim([3,16]);
282 xlabel('$position[m]$', 'fontsize',15);
283 ylabel('$distance[m]$', 'fontsize',15);
284 legend('$d_{2}$','$d_{3}$','$d_{\mbox{safe},2}$','$d_{\mbox{safe},3}$');
285 grid on;
286
287 % u1, u2, u3
288 figure(5);
289 plot(ScopeData.data(:,1),ScopeData.data(:,18),'r','linewidth',2); hold on;
290 plot(ScopeData.data(:,2),ScopeData.data(:,19),'g','linewidth',2); hold on;
291 plot(ScopeData.data(:,3),ScopeData.data(:,20),'b','linewidth',2); hold on;
292 plot(ScopeData.data(:,1),ScopeData.data(:,21),'r--','linewidth',2); hold on;
293 plot(ScopeData.data(:,2),ScopeData.data(:,22),'g--','linewidth',2); hold on;
294 plot(ScopeData.data(:,3),ScopeData.data(:,23),'b--','linewidth',2); hold off;
295 set(gca,'fontsize',15);
296 xlim([minxlimit,maxxlimit]);
297 ylim([-10000,20000]);
298 xlabel('$position[m]$', 'fontsize',15);

```

---

```

299 ylabel('$input[N]$', 'fontsize', 15);
300 legend('$u_{1}$', '$u_{2}$', '$u_{3}$', '$\gamma_{1}$', '$\gamma_{2}$', '$\gamma_{3}$');
301 grid on;
302
303 % Airdrag Force
304 figure(6);
305 plot(ScopeData.data(:,1), ScopeData.data(:,24), 'g', 'linewidth', 2); hold on;
306 plot(ScopeData.data(:,2), ScopeData.data(:,25), 'r', 'linewidth', 2); hold on;
307 plot(ScopeData.data(:,3), ScopeData.data(:,26), 'b--', 'linewidth', 2); hold off;
308 set(gca, 'fontsize', 15);
309 xlim([minxlimit, maxxlimit]);
310 ylim([-2000, 0]);
311 xlabel('$position[m]$', 'fontsize', 15);
312 ylabel('$force[N]$', 'fontsize', 15);
313 legend('$F_{\mbox{drag},1}$', '$F_{\mbox{drag},2}$', '$F_{\mbox{drag},3}$');
314 grid on;
315
316 figure(7);
317 plot(ScopeData.data(:,1), ScopeData.data(:,24), 'r', 'linewidth', 2); hold on;
318 plot(ScopeData.data(:,1), ScopeData.data(:,27), 'g', 'linewidth', 2); hold on;
319 plot(ScopeData.data(:,1), ScopeData.data(:,30), 'b', 'linewidth', 2); hold on;
320 plot(ScopeData.data(:,1), ScopeData.data(:,12), 'k', 'linewidth', 2); hold on;
321 plot(ScopeData.data(:,1), ScopeData.data(:,15), 'm--', 'linewidth', 2); hold off;
322 set(gca, 'fontsize', 15);
323 xlim([minxlimit, maxxlimit]);
324 ylim([-15000, 15000]);
325 xlabel('$position[m]$', 'fontsize', 15);
326 ylabel('$disturbance[N]$', 'fontsize', 15);
327 legend('$\widetilde{F}_{\mbox{drag},1}$', '$\widetilde{F}_{\mbox{roll},1}$',
328 '$\widetilde{F}_{\mbox{gravity},1}$', '$\widetilde{F}_{\mbox{ext},1}$', '$F_{\mbox{est},1}$');
329 grid on;
330
331 figure(8);
332 plot(ScopeData.data(:,2), ScopeData.data(:,25), 'r', 'linewidth', 2); hold on;
333 plot(ScopeData.data(:,2), ScopeData.data(:,28), 'g', 'linewidth', 2); hold on;
334 plot(ScopeData.data(:,2), ScopeData.data(:,31), 'b', 'linewidth', 2); hold on;
335 plot(ScopeData.data(:,2), ScopeData.data(:,13), 'k', 'linewidth', 2); hold on;
336 plot(ScopeData.data(:,2), ScopeData.data(:,16), 'm--', 'linewidth', 2); hold off;
337 set(gca, 'fontsize', 15);
338 xlim([minxlimit, maxxlimit]);
339 ylim([-15000, 15000]);
340 xlabel('$position[m]$', 'fontsize', 15);
341 ylabel('$disturbance[N]$', 'fontsize', 15);
342 legend('$\widetilde{F}_{\mbox{drag},2}$', '$\widetilde{F}_{\mbox{roll},2}$',
343 '$\widetilde{F}_{\mbox{gravity},2}$', '$\widetilde{F}_{\mbox{ext},2}$', '$F_{\mbox{est},2}$');
344 grid on;
345
346 figure(9);
347 plot(ScopeData.data(:,3), ScopeData.data(:,26), 'r', 'linewidth', 2); hold on;
348 plot(ScopeData.data(:,3), ScopeData.data(:,29), 'g', 'linewidth', 2); hold on;
349 plot(ScopeData.data(:,3), ScopeData.data(:,32), 'b', 'linewidth', 2); hold on;
350 plot(ScopeData.data(:,3), ScopeData.data(:,14), 'k', 'linewidth', 2); hold on;
351 plot(ScopeData.data(:,3), ScopeData.data(:,17), 'm--', 'linewidth', 2); hold off;
352 set(gca, 'fontsize', 15);
353 xlim([minxlimit, maxxlimit]);
354 ylim([-15000, 15000]);
355 xlabel('$position[m]$', 'fontsize', 15);
356 ylabel('$disturbance[N]$', 'fontsize', 15);
357 legend('$\widetilde{F}_{\mbox{drag},3}$', '$\widetilde{F}_{\mbox{roll},3}$',
358 '$\widetilde{F}_{\mbox{gravity},3}$', '$\widetilde{F}_{\mbox{ext},3}$', '$F_{\mbox{est},3}$');

```

359 grid on;  
360



## 2.8.2 Platoon Coordinator

```

1
2 % assumption: trucks have the same powertrain!
3
4 function Xref_pc = platoon_coordinator(road_info, vehicles_info, engine_info,
5 k_beta, beta_tuning, max_v_ref, ave_v_ref, time_gap, CLAC,...
6 fixed_end_v, end_v, max_err_v, X1, beta_init, Xref_pc_init)
7
8 persistent beta Xref_pc_per
9 t_curr=0;
10 if isempty(beta)
11     beta=beta_init;
12 end
13 if isempty(Xref_pc_per)
14     Xref_pc_per=Xref_pc_init;
15 end
16
17 coder.extrinsic('legend')
18 coder.extrinsic('tic')
19 coder.extrinsic('toc')
20
21 %% Platoon coordinator parameter
22 n_DP = 1389; % number of discretization speed points
23 %n_DP = 2000;
24 dv_DP = 0.005; % [m/s] speed discretization size
25 ds_DP = 6; % [m] discretization length
26 s_pred_DP = 8000; % [m] length of the prediction horizon
27 hp_DP = floor(s_pred_DP/ds_DP);
28
29 %% reading parameters
30 % reading road information
31 road_s_vec = road_info(:,1); % spacial coordinate
32 road_h_vec = road_info(:,2); % road altitude
33
34 % reading vehicle info
35 n_vehicles = 3; % number of vehicles
36 vehicle_m_vec = vehicles_info(1,:); % vehicles masses
37 vehicle_length_vec = vehicles_info(2,:); % vehicles lengths
38 vehicle_cr_vec = vehicles_info(3,:); % vehicles roll resistance
39
40 % reading engine info
41 engine_Pmin_vec = engine_info(1,:);
42 engine_Pmax_vec = engine_info(2,:);
43 engine_fuel_coeff_vec = engine_info(3:5,:);
44
45 %% current state
46 s1_curr = X1(2);
47 v1_curr = X1(1);
48
49 %% vehicle parameters
50 %ro_v=1.2;
51 ro_v=1.225;
52 %A_v=10;
53 A_v=9.487;
54 g=9.81;
55 %Cd0=.6;
56 Cd0=0.5271;
57 %Cd2=23*.30/.35;
58 Cd2=26.666;
59 %Cd1=.65*Cd2;
60 Cd1=14.666;
61
62 %% controller initialization
63 v_DP=max_v_ref+((-n_DP-1)*dv_DP):dv_DP:0);
64 k_init=find(v_DP>=v1_curr,1);

```

---

---

```

65 v_DP=v_DP-(v_DP(k_init)-v1_curr)*ones(size(v_DP));
66
67 beta_old=beta;
68 beta_low=NaN;
69 beta_high=NaN;
70 v_ave=0;
71
72 %% DP
73 % initializations
74 s_opt=zeros(hp_DP,1);
75 k_opt=zeros(hp_DP,1);
76 v_opt=zeros(hp_DP,1);
77 t_opt=zeros(hp_DP,1);
78 feb_opt=zeros(hp_DP,n_vehicles);
79 Peb_opt=zeros(hp_DP,n_vehicles);
80 big_number=Inf;
81
82 Cd_vec=zeros(n_vehicles,length(v_DP));
83 Cd_vec(1,:)=ones(size(v_DP))*Cd0;
84 for i=2:n_vehicles
85     Cd_vec(i,:)=Cd0*(1-Cd1*ones(size(v_DP))./(Cd2+(v_DP*time_gap(i)-vehicle_length_vec(i-1))));
86 end
87
88 % DP routine
89 while (abs(v_ave-ave_v_ref)>max_err_v) && (beta_old>0 || v_ave<=22)
90     tic
91     J=zeros(hp_DP,n_DP);
92     J_temp=zeros(n_DP,1);
93     k_fut=zeros(hp_DP,n_DP);
94     if fixed_end_v
95         J(hp_DP,1:n_DP)=big_number;
96         J(hp_DP,find(v_DP>=end_v,1))=0;
97     else
98         J(hp_DP,1:n_DP)=sum((1/2*vehicle_m_vec./engine_Pmax_vec(1)
99             *polyval(engine_fuel_coeff_vec(:,1),engine_Pmax_vec(1)))'*(ave_v_ref^2-v_DP.^2));
100     end
101     k_fut(hp_DP,1:n_DP)=NaN;
102     s_opt(:)=s1_curr+ds_DP*(0:(hp_DP-1));
103     Feb_temp=zeros(1,n_vehicles);
104     Peb_temp=zeros(1,n_vehicles);
105     for j=hp_DP-1:-1:1
106         dh=interp1(road_s_vec,road_h_vec,s_opt(j+1))-interp1(road_s_vec,road_h_vec,s_opt(j));
107         for i=1:n_DP
108             % 1 - same speed
109             k=i;
110             % used model  $(V-v)*V/ds*m=Fe-1/2*ro_v*A_v*Cd*V^2-m*g*\sin(\alpha(x(V)))$ 
111             Feb_temp(1,:)=vehicle_m_vec'/ds_DP*v_DP(k)*(v_DP(k)-v_DP(i))+1/2
112                 *ro_v*A_v*Cd_vec(:,k)*v_DP(k)^2+g*vehicle_cr_vec'.*vehicle_m_vec'
113                 +vehicle_m_vec'*g*dh/ds_DP;
114             Peb_temp(1,:)=Feb_temp*v_DP(k);
115             if prod(Peb_temp(1,:))<=engine_Pmax_vec
116                 fuelp_temp=polyval(engine_fuel_coeff_vec(:,1),max(engine_Pmin_vec,Peb_temp));
117                 if CLAC==0
118                     fuelp_temp(2:end)=0;
119                 end
120                 J_temp(k)=J(j+1,k)+sum(fuelp_temp)*ds_DP/v_DP(k)+beta*ds_DP/v_DP(k);
121             else
122                 J_temp(k)=big_number;
123             end
124
125             % 2 - from higher speed
126             k=i;
127             looping=true;
128             while looping && k<n_DP

```

---

```

129         k=k+1;
130         Feb_temp(1,:)=vehicle_m_vec'/ds_DP*v_DP(k)*(v_DP(k)-v_DP(i))
131         +1/2*ro_v*A_v*Cd_vec(:,k)
132         *v_DP(k)^2+g*vehicle_cr_vec'.*vehicle_m_vec'+vehicle_m_vec'*g*dh/ds_DP;
133         Peb_temp(1,:)=Feb_temp*v_DP(k);
134         if prod(Peb_temp<=engine_Pmax_vec)
135             fuelp_temp=polyval(engine_fuel_coeff_vec(:,1)
136                 ,max(engine_Pmin_vec,Peb_temp));
137             if CLAC==0
138                 fuelp_temp(2:end)=0;
139             end
140             J_temp(k)=J(j+1,k)+sum(fuelp_temp)*ds_DP/v_DP(k)+beta*ds_DP/v_DP(k);
141         else
142             J_temp(k)=big_number;
143             if not(prod(Peb_temp<=engine_Pmax_vec))
144                 looping=false;
145             end
146         end
147     end
148     k_max=k;
149
150     % 3 - from lower speed
151     k=i;
152     looping=true;
153     while looping && k>1
154         k=k-1;
155         Feb_temp(1,:)=vehicle_m_vec'/ds_DP*v_DP(k)*(v_DP(k)
156             -v_DP(i))+1/2*ro_v*A_v*Cd_vec(:,k)
157         *v_DP(k)^2+g*vehicle_cr_vec'.*vehicle_m_vec'+vehicle_m_vec'*g*dh/ds_DP;
158         Peb_temp(1,:)=Feb_temp*v_DP(k);
159         if min(1,sum(Peb_temp>=engine_Pmin_vec))
160             && prod(Peb_temp<=engine_Pmax_vec)
161                 fuelp_temp=polyval(engine_fuel_coeff_vec(:,1)
162                     ,max(engine_Pmin_vec,Peb_temp));
163                 if CLAC==0
164                     fuelp_temp(2:end)=0;
165                 end
166                 J_temp(k)=J(j+1,k)+sum(fuelp_temp)*ds_DP/v_DP(k)
167                 +beta*ds_DP/v_DP(k);
168             else
169                 J_temp(k)=big_number;
170                 if not(min(1,sum(Peb_temp>=engine_Pmin_vec)))
171                     looping=false;
172                 end
173             end
174         end
175         k_min=k;
176
177         % optimal at step j,i
178         [J(j,i),k_best]=min(J_temp(k_min:k_max));
179         k_best=k_best+k_min-1;
180         k_fut(j,i)=k_best;
181     end
182 end
183
184 %% Optimal trajectory
185 k_init=find(v_DP>=v1_curr,1);
186 k_opt(1)=k_init;
187 v_opt(1)=v_DP(k_init);
188 t_opt(1)=t_curr;
189 feb_opt(end,:)=NaN;
190 Peb_opt(end,:)=NaN;
191 for j=2:1:hp_DP
192     k_opt(j)=k_fut(j-1,k_opt(j-1));
193

```

---

```

194     v_opt(j)=v_DP(k_fut(j-1,k_opt(j-1)));
195     t_opt(j)=t_opt(j-1)+ds_DP/v_opt(j);
196 end
197 for j=2:1:hp_DP
198     dh=interp1(road_s_vec,road_h_vec,s_opt(j))
199     -interp1(road_s_vec,road_h_vec,s_opt(j-1));
200     feb_opt(j-1,:)=(vehicle_m_vec'/ds_DP*v_opt(j)*(v_opt(j)
201     -v_opt(j-1))+1/2*ro_v*A_v*Cd_vec(:,k_opt(j))
202     *v_opt(j)^2+g*vehicle_cr_vec'.*vehicle_m_vec'
203     +vehicle_m_vec'*g*dh/ds_DP)./vehicle_m_vec';
204     Peb_opt(j-1,:)=feb_opt(j-1,:).*vehicle_m_vec*v_opt(j);
205 end
206 t_end=t_opt(end)-t_curr;
207 v_ave=(s_opt(end)-s_opt(1))/t_end;
208 v_err=v_ave-ave_v_ref
209 if v_ave<ave_v_ref
210     beta_low=beta;
211 else
212     beta_high=beta;
213 end
214 beta_old=beta;
215 if isnan(beta_low) || isnan(beta_high)
216     beta=beta+k_beta*(ave_v_ref-v_ave);
217 else
218     beta=mean([beta_low beta_high]);
219 end
220 beta_low
221 beta_high
222 J_trajectory=J(1,k_init);
223 if beta_tuning==0;
224     v_ave=ave_v_ref;
225 end
226 toc
227 end
228 %% output
229 s_pc=NaN*ones(hp_DP+40,1);
230 v_pc=NaN*ones(hp_DP+40,1);
231 s_pc_new=s_opt(1:hp_DP);
232 v_pc_new=v_opt(1:hp_DP);
233 s_pc_old=Xref_pc_per(:,1);
234 v_pc_old=Xref_pc_per(:,2);
235 idex_old_temp=find(s_pc_old<s_pc_new(1),1,'last');
236 if all(idex_old_temp<40) || (isempty(idex_old_temp))
237     idex_old=41
238 else
239     idex_old=idex_old_temp(1);
240 end
241 s_pc(1:40)=s_pc_old(idex_old-39:idex_old);
242 v_pc(1:40)=v_pc_old(idex_old-39:idex_old);
243 s_pc(41:hp_DP+40)=s_pc_new;
244 v_pc(41:hp_DP+40)=v_pc_new;
245 Xref_pc_per=[s_pc, v_pc];
246 Xref_pc=Xref_pc_per;
247
248
249
250
251

```

---

### 2.8.3 Reference Generator

```

1
2 function [new_s1, new_v1]= For_s_v(s1, v1, InitialPosition,
3 Vehicle1Length, Vehicle2Length, InitialDistanceBetweenVehicles12,
4 InitialDistanceBetweenVehicles23, v_init)
5
6 W = 10000;
7
8 persistent Box_s1
9 persistent Box_v1
10 persistent var1
11
12 if isempty(Box_s1)
13     Box_s1 = (InitialPosition+InitialDistanceBetweenVehicles23
14     +Vehicle2Length+InitialDistanceBetweenVehicles12+Vehicle1Length)*ones(W,1);
15 end
16 if isempty(Box_v1)
17     Box_v1 = v_init*ones(W,1);
18 end
19 if isempty(var1)
20     var1 = 1;
21 end
22
23 if var1 <= W
24     Box_s1(var1) = s1;
25     Box_v1(var1) = v1;
26     var1 = var1+1;
27     new_s1 = Box_s1;
28     new_v1 = Box_v1;
29 else
30     var1 = W;
31     temp1_s = Box_s1;
32     temp1_v = Box_v1;
33     temp2_s = Box_s1;
34     temp2_v = Box_v1;
35     temp2_s(var1) = s1;
36     temp2_v(var1) = v1;
37     for i = 1 : var1-1
38         temp2_s(var1-i) = temp1_s(var1-i+1);
39         temp2_v(var1-i) = temp1_v(var1-i+1);
40     end
41     var1 = var1+1;
42     Box_s1 = temp2_s;
43     Box_v1 = temp2_v;
44     new_s1 = Box_s1;
45     new_v1 = Box_v1;
46 end
47
48 function vRef2 = For_vRef2(s2, new_s1, new_v1, vRefParameter)
49
50 i = 1;
51
52 while 1
53     if new_s1(i) <= s2 && s2 < new_s1(i+1)
54         vRef2 = (1-vRefParameter)*new_v1(i);
55         break;
56     end
57     i = i+1;
58     if i > size(new_s1, 1)
59         vRef2 = (1-vRefParameter)*22;
60         break;
61     end
62 end
63

```

---

### 2.8.4 DOB based vehicle controller

```

1 function alpha = ToMakeSlope(s, distance, slope)
2
3
4 %When the region of slope is stable,
5 %if 0 <= s && s <= 1000
6 %    alpha = 0;
7 %elseif 1000 < s && s <= 1500
8 %    alpha = 1/20;
9 %elseif 1500 < s && s <= 2000
10 %    alpha = 0;
11 %elseif 2000 < s && s <= 2500
12 %    alpha = -1/20;
13 %elseif 2500 < s && s <= 3000
14 %    alpha = 0;
15 %elseif 3000 < s && s <= 3700
16 %    alpha = 1/15;
17 %elseif 3700 < s && s <= 4200
18 %    alpha = 0;
19 %elseif 4200 < s && s <= 4900
20 %    alpha = -1/15;
21 %else
22 %    alpha = 0;
23 %end
24
25 %This is RoadData From Mariefred To Eskilstuna
26 i = 1;
27 while 1
28     if distance(i) <= s && s < distance(i+1)
29         alpha = atan(slope(i));
30         break;
31     end
32     i = i+1;
33     if i > size(distance, 1)
34         alpha = atan(0);
35         break;
36     end
37 end
38
39
40 function [d, AirDragForce, RollingResistanceForce, GravityForce]
41 = ToMakeDisturbance(v, alpha, g, Vehicle1Cr, M1, Ad, Vehicle1Av, Cd0 )
42
43 AirDragForce = - 1./2*Ad*Vehicle1Av*Cd0*v^2;
44 RollingResistanceForce = - Vehicle1Cr*M1*g*cos(alpha);
45 GravityForce = - M1*g*sin(alpha);
46
47 d = GravityForce + RollingResistanceForce + AirDragForce;
48
49 function [uout,umax,umin]= ToMakeSaturation(v, u, Vehicle1Pmax, Vehicle1Pmin,
50 nominal_mass, nominal_Eb, g, nominal_Cf, sat_region_on)
51
52 umax = Vehicle1Pmax./(v);
53 umin = Vehicle1Pmin./(v) - nominal_mass*nominal_Eb*g*nominal_Cf;
54
55 if sat_region_on == 1
56     if 0 <= u && u <= umax
57         uout = u;
58     elseif umax <= u
59         uout = umax;
60     else
61         uout = 0;
62     end
63 else
64     if umin <= u && u <= umax
65         uout = u;
66     elseif umax <= u
67         uout = umax;

```

---

```
68     else
69         uout = umin;
70     end
71 end
```

---



---

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## 요약문

### 포화 액츄에이터와 측정잡음을 고려한 외란관측기 기반 제어시스템 연구

첫번째로 외란관측기 기반 제어기의 측정잡음으로 인한 추종오차 현상을 연구하였습니다. 여기서 말하는 외란관측기란 모델불확실성, 외란에 의한 영향을 보상할 수 있는 강인 제어기법입니다. 이러한 이유로 현재 많은 산업체에서는 드론 제어, 로봇 제어, 차량 제어, 전기자전거 제어, 모터 제어 등의 다양한 분야에 외란관측기 기반 제어기를 적용하여 그 효과를 보고 있습니다. 하지만 저희는 외란관측기 기반 제어기를 사용하면서 센서의 측정잡음이 존재하는 경우에 측정잡음으로 인해 제어 시스템의 출력이 참조값을 따라가지 못하는 현상을 발견하였습니다. 저희는 이러한 현상을 외란관측기 기반 제어시스템에서의 나이트 현상이라 명명하였고 Stochastic averaging theory를 사용하여 나이트 현상이 나타나는 조건과 추종오차를 수학적으로 증명하였습니다. 또한 나이트 현상을 줄일 수 있는 제어기 설계 방법 2가지를 제시하였습니다. 본 연구의 타당성을 입증하기 위해 시뮬레이션과 BLDC 모터 테스트 베드를 이용하여 이를 검증하였습니다.

두번째로 자율군집주행기법을 연구하였습니다. 자율군집주행이란 차량 간에 짧은 거리를 유지하며 운행되는 주행방법으로 이를 이용하면 차량이 받는 공기저항의 영향을 줄여 연료를 절감할 수 있습니다. 하지만 화물적재로 인한 차량의 질량이 변하여 차량의 다이내믹스가 변하는 경우 혹은 차량이 외란에 의한 영향을 받을 경우, 차량은 속도 추종을 방해 받게 됩니다. 이는 자율군집주행제어에 매우 악의적인 영향임을 의미합니다. 학회에서는 이러한 문제를 어떻게 해결해야 할지를 제시하지 못하였고, 본 연구를 통해 이를 제어적인 관점에서 어떻게 속도추종을 보상하여 군집주행을 가능케 할 수 있을지에 관해 해결법을 제시하였습니다. 해결 방법으로 차량제어기에 강인제어 기법을 사용하여 제어기를 구현하였고, 시뮬레이션은 스웨덴의 Mariefred 지역에서 Eskilstuna 지역까지의 실제 도로 데이터를 이용하여 실제 차량과 유사한 환경으로 시뮬레이션 하였습니다.

**주요어휘:** 외란관측기, 포화, 측정잡음, 자율군집주행

